



**RESTRICTED PARTY SCREENING – VISUAL COMPLIANCE
EXPORT CONTROL PROGRAM**

In order to ensure that A&M-Commerce is not doing business with individuals or entities that have been debarred, denied export privileges, or are otherwise on one of the numerous government Restricted Party Lists, A&M-Commerce must screen individuals and entities. The following are indicators that an export control review, including the restricted party screening, must be conducted to ensure that no violations will occur:

- (a) The results of research conducted at A&M-Commerce or by A&M-Commerce employees are intended for military purposes or for other restricted end uses under EAR 99 http://www.access.gpo.gov/bis/ear/ear_data.html#ccl.
- (b) Foreign Persons will have access to Controlled Physical Items on campus.
- (c) Software including encryption features will be developed or purchased.
- (d) A&M-Commerce faculty or staff will export or travel abroad with research equipment, chemicals, biological materials, encrypted software, or Controlled Physical Items; or travel abroad with laptops, cell phones, or PDAs containing Controlled Information.
- (e) A proposed financial transaction will involve embargoed countries or entities, individuals located in embargoed countries, or who are on prohibited or restricted end-user lists, as determined by RPS.
- (f) The sponsor requires pre-approval rights over publications or the participation of Foreign Persons.
- (g) The project requires the shipping of equipment to a foreign country.

Name of Individual to be screened:

Address of Individual to be screened:

Individual's Current Employer (University or Company):

Reason for Screening (identify from the items listed above):

- (a) (b) (c) (d) (e) (f) (g)

Submit this form to Ms. Glenda Denton in the Office of Sponsored Programs. For questions, please contact Ms. Denton at glenda.denton@tamuc.edu or 903-886-5766.

Results:

- No Matches (retain copy locally)
- Match – Found to be a False Positive; requires 1) description of how this hit was determined to be a false positive 2) Secondary Unit screener signature/date, and 3) copy to Export Control Program at the Office of Research and Sponsored Programs.
- Match – Contact Export Control Program at Office of Sponsored Programs for appropriate action.

Screened by:

Signature of Screener

Date Screened