

Experimental challenges in nuclear astrophysics

Answering to ancient questions

Rosario Gianluca Pizzone



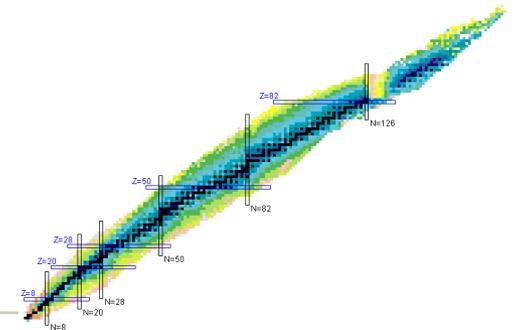
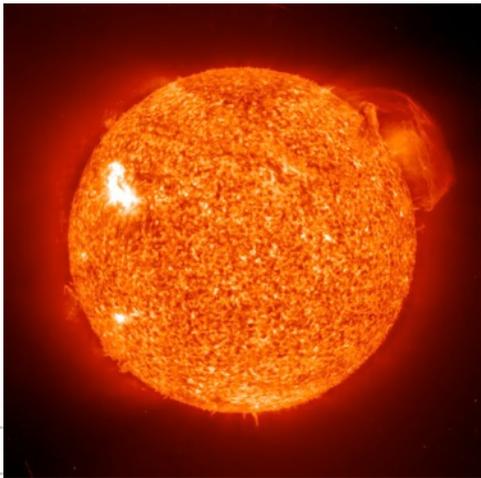
Nature triggers men's admirations; and we look at everything and wonder, but seldom we investigate the causes; thus we ignore the Movements of the Sun and stars As well as the explanations of many other phenomena

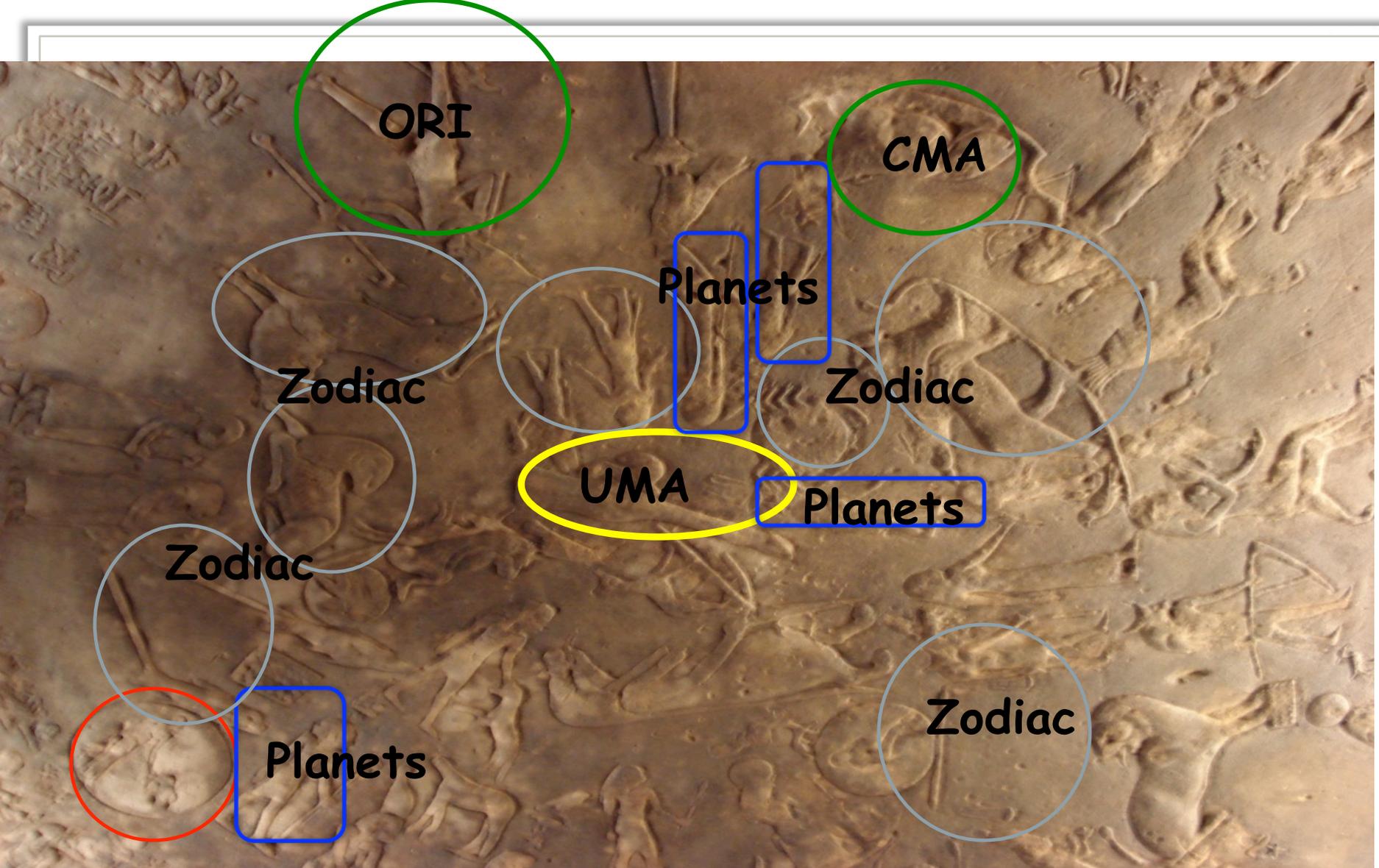
Cicero, I century BC





Part 1 : Introduction





Observation and understanding of the stars started together with mankind (Denderah Zodiac)

Spiral Galaxy NGC 4622



And much progress was made in the last centuries through astronomical studies

But... it was realized that it was not enough.

In order to understand astrophysical processes, we need to know what's going on there

Astrophysics: studying the Universe through the laws of physics

Nuclear Astrophysics: study of nuclear processes which take place in the Universe

Understanding MACROCOSMOS through MICROCOSMOS

WHY?

- to understand how stars produce the energy they emit;
- to understand how chemical elements were produced
- to understand the first seconds of the Universe and help to track how it will end

Why gold costs much more than iron??



Stars emit energy throughout their lives and stars also change (evolve) during their lives. are these aspects connected? How?

The birth of a star: Galactic gas and powder



Small Mass
Star (Sun)

Massive Star

We know from geology Earth is 4.65×10^9 years old. What source can guarantee solar luminosity for such a long time?

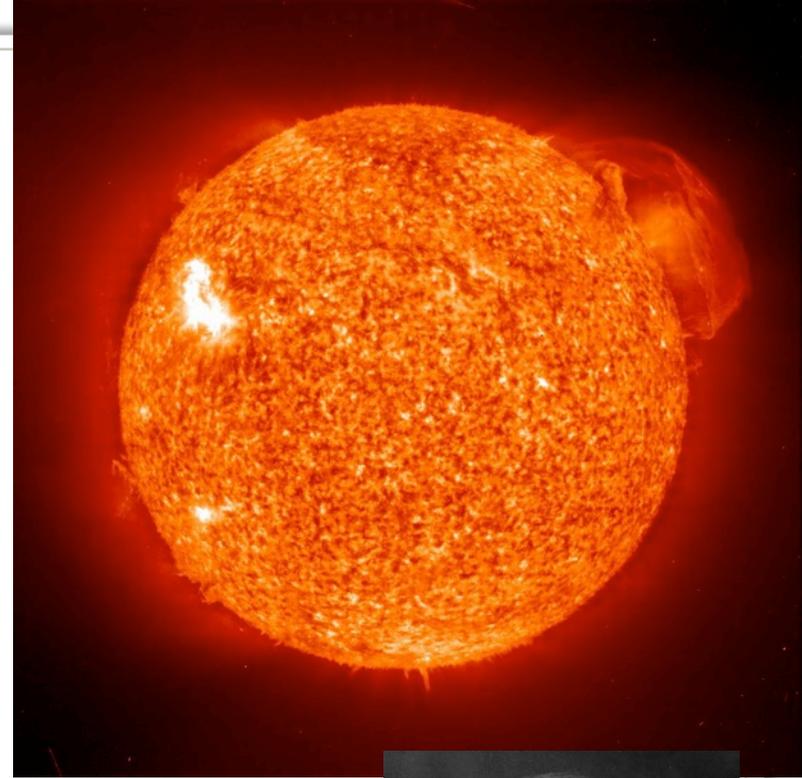
Gravitational contraction?

It can be shown Sun can hold
From GC for 10^7 year
(Kelvin Helmholtz timescale)

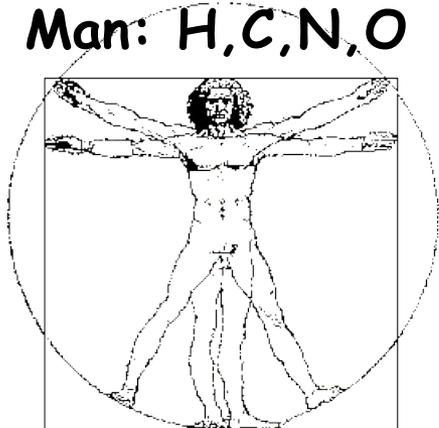
Nuclear fusion?

Simple estimates show it's the right answer.
But HOW?

First ideas suggested 4 H nuclei can merge into a He
Producing energy from mass defect (Eddington)



Man: H, C, N, O



Where are the 92 natural elements coming from? How were they produced?



Earth: Fe, Si, O, Mg



Sun: H, He



U



Au



Li

A "cosmic abundance"?

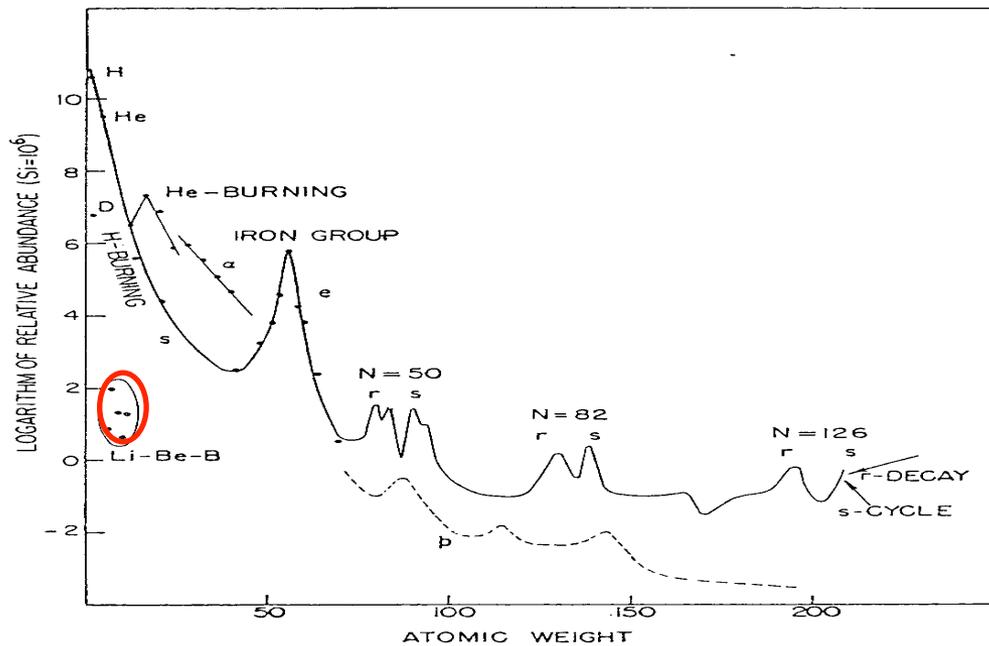
Meteorites: Fe, Ni



The elemental abundance in the universe is determined in the Solar neighborhood and is assumed to be Universal. It is measured in Earth, Sun, Meteorites, Stars ... by different methods. Several features are visible in the curve of abundance.

Elemental Abundance in the Universe

Elemental abundance in the Universe



Features:

- Li, Be, B under-abundant
- peak around $A=56$ (Fe)
- almost flat distribution beyond Fe
- exponential decrease up to iron peak



- **Eddington 1920, Bethe 1938, von Weizsäcker 1938, Gamow 1948, Cameron 1957 ...**

In **1957**, B²FH presented the basis of the modern nuclear astrophysics in their review paper explaining by ***nuclear reactions occurring in the interior of the stars*** :

- The production of energy
- The creation of elements

REVIEWS OF MODERN PHYSICS

VOLUME 29, NUMBER 4

OCTOBER, 1957

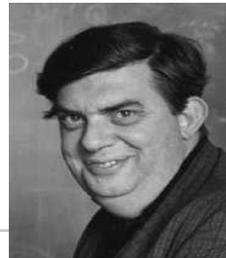
Synthesis of the Elements in Stars*

E. MARGARET BURBIDGE, G. R. BURBIDGE, WILLIAM A. FOWLER, AND F. HOYLE

***The first complete review of nuclear reactions explaining:
H and He quiescent and hot burning, and of the nucleosynthesis beyond Fe.***



Margaret Burbidge



Geoff Burbidge



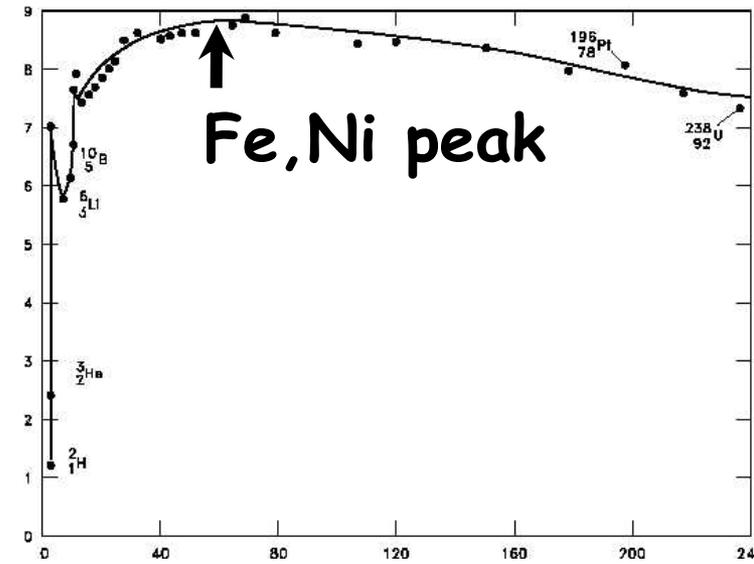
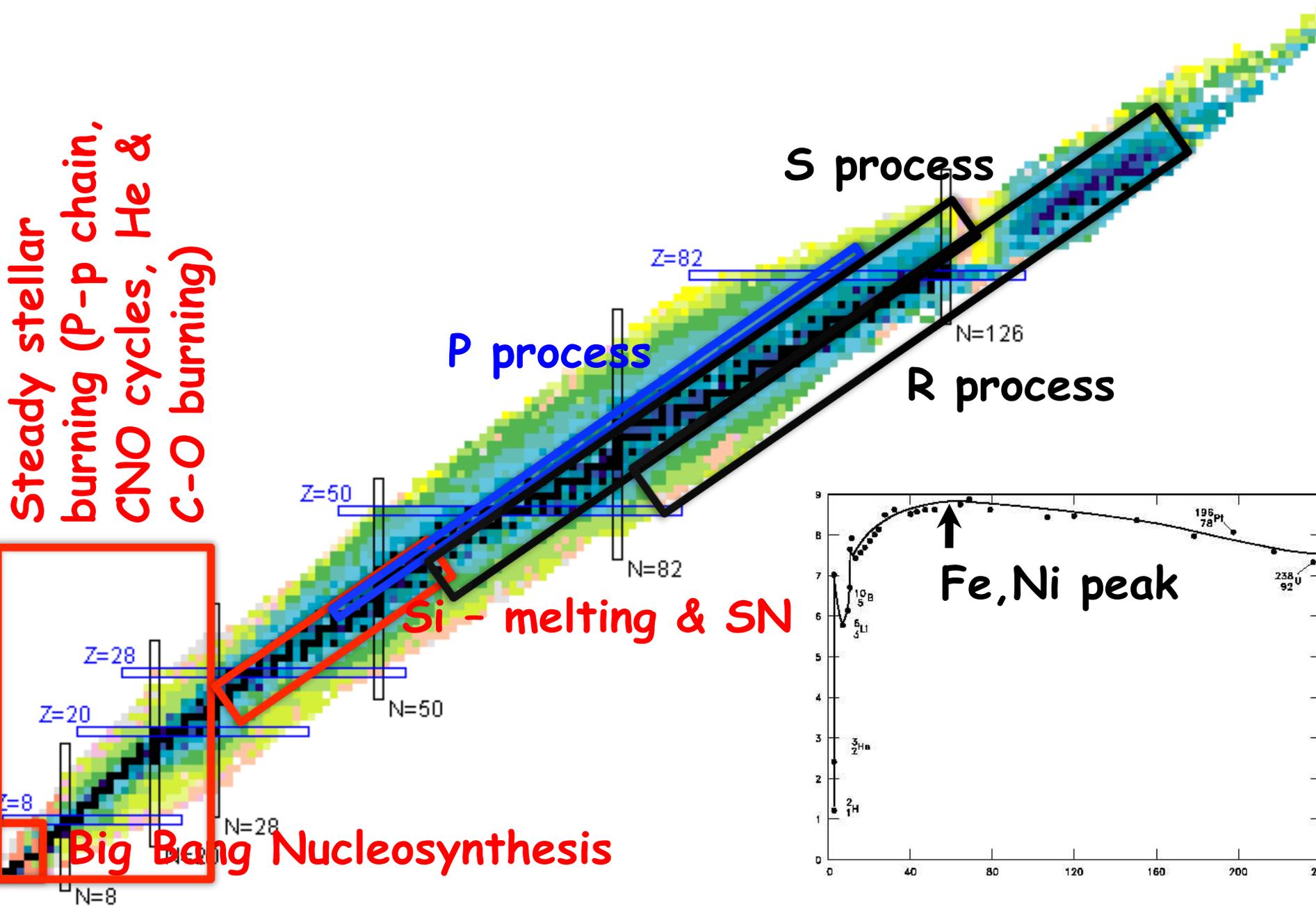
William Fowler



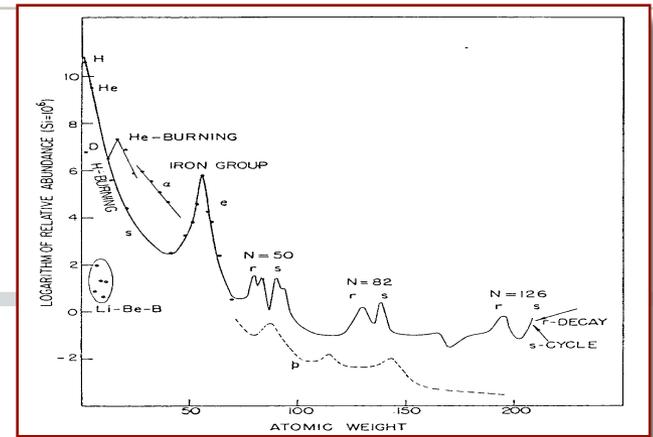
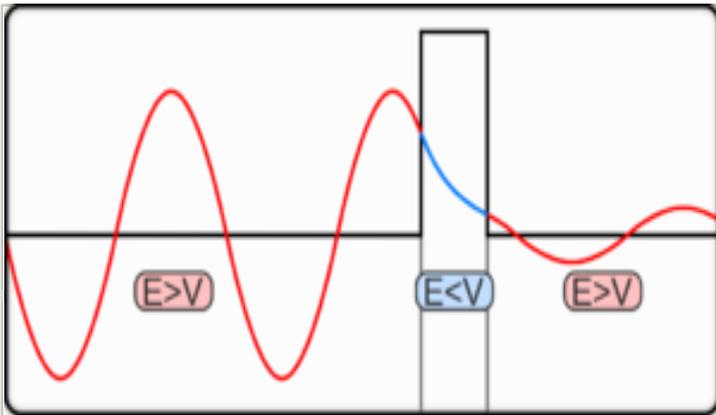
Fred Hoyle

Steady stellar burning (P-p chain, CNO cycles, He & C-O burning)

Big Bang Nucleosynthesis

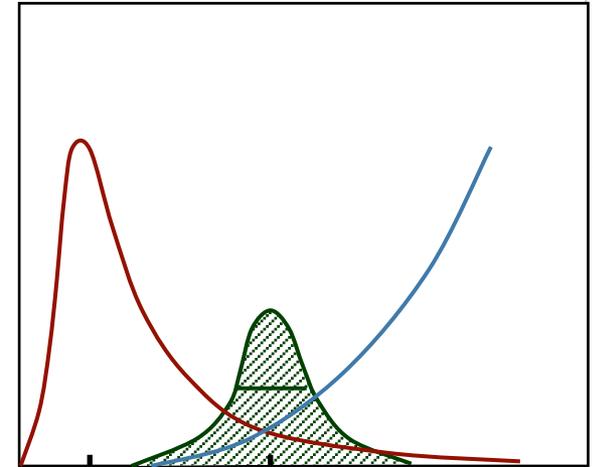


- In the astrophysical environments the energy required for particle interactions is taken from Thermal Energy
- In the Sun $T=1.5 \times 10^7$ K then $E=kT \sim \text{keV}$
- In large masses stars $T \sim 10^9$ $E \sim 0.5-1$ MeV



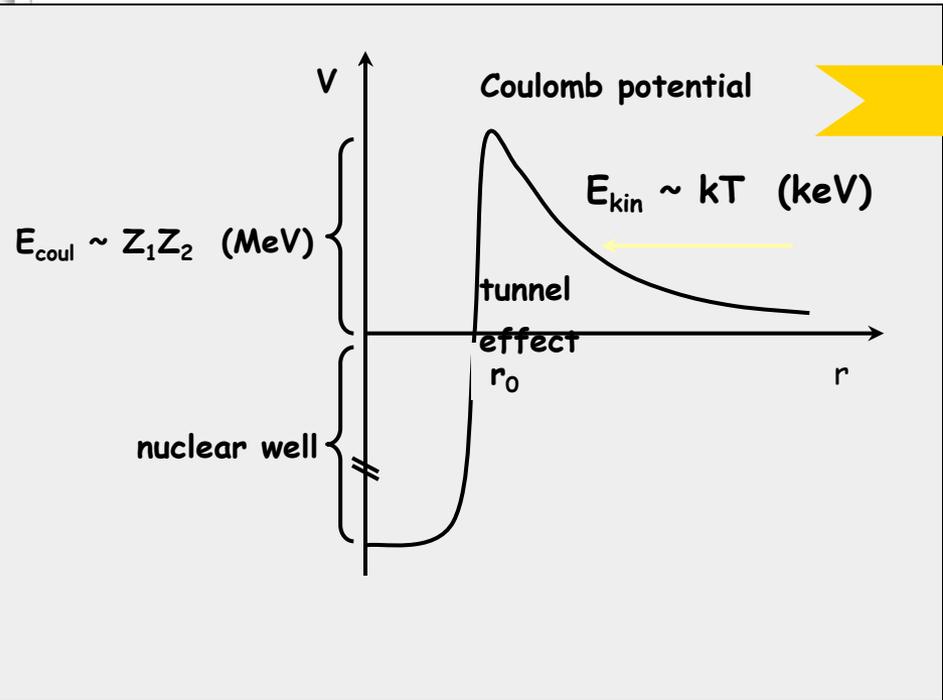
Part 2:

Useful definitions

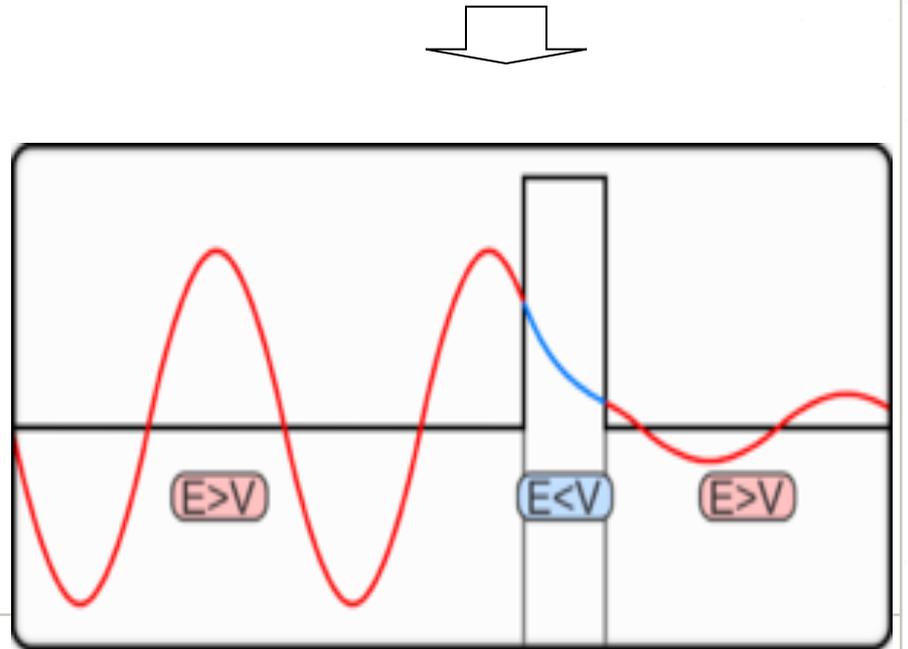


cross sections measurements: Reactions between charged particles

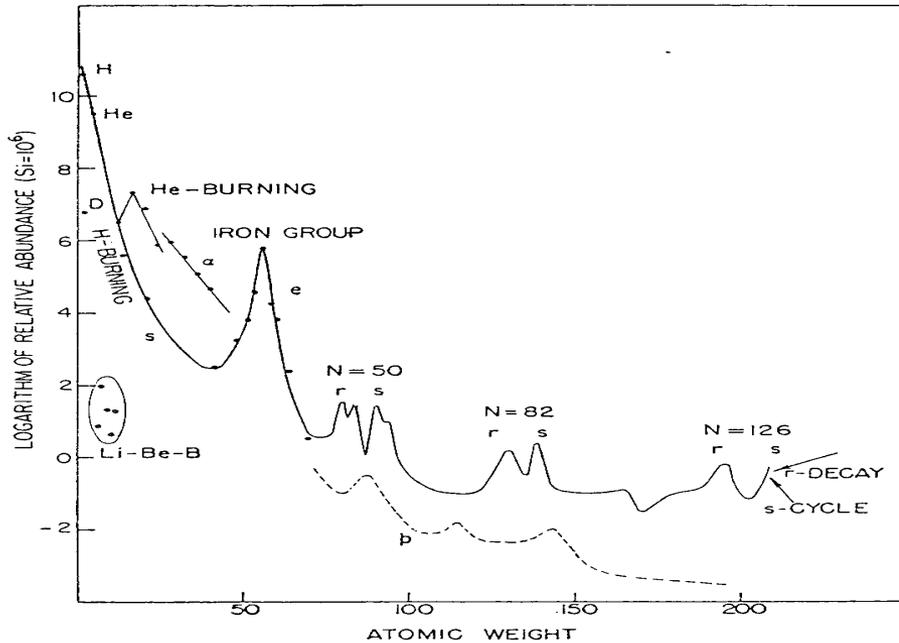
The main problem in the charged particle cross section measurements at astrophysical energies is the presence of the Coulomb barrier between the interacting nuclei



reactions occur through TUNNEL EFFECT



It determines exponential drop in abundance curve !



tunneling probability

$$P \propto \exp(-2\pi\eta)$$

$2\pi\eta = \text{GAMOW factor}$

in numerical units:

$$2\pi\eta = 31.29 Z_1 Z_2 (\mu/E)^{\frac{1}{2}}$$

μ in amu and E_{cm} in keV

Consider reaction $1 + 2 \rightarrow 3 + 4$ $Q_{12} > 0$

Reaction per unit time per unit volume: $v\sigma(v)N_1N_2$

In stellar plasma: $\varphi(v) \propto \exp\left(-\frac{\mu v^2}{2kT}\right) = \exp\left(-\frac{E}{kT}\right)$ $\mu = \text{reduced mass}$
 $v = \text{relative velocity}$
 $T = \text{plasma temperature}$

non-relativistic, non-degenerate gas
in thermodynamic equilibrium

Maxwell-Boltzmann distribution

THEN averaging over v distribution

$$\langle \sigma v \rangle_{12} = \left(\frac{8}{\pi\mu_{12}}\right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^{\infty} \sigma(E) \exp\left(-\frac{E}{kT}\right) E dE$$

Total reaction rate $R_{12} = (1+\delta_{12})^{-1} n_1 n_2 \langle \sigma v \rangle_{12}$

reactions $\text{cm}^{-3} \text{s}^{-1}$
 $n_i = \text{number density}$

$\langle \sigma v \rangle = \text{KEY quantity}$ to be determined from experiments

\Rightarrow NEED ANALYTICAL EXPRESSION FOR σ !

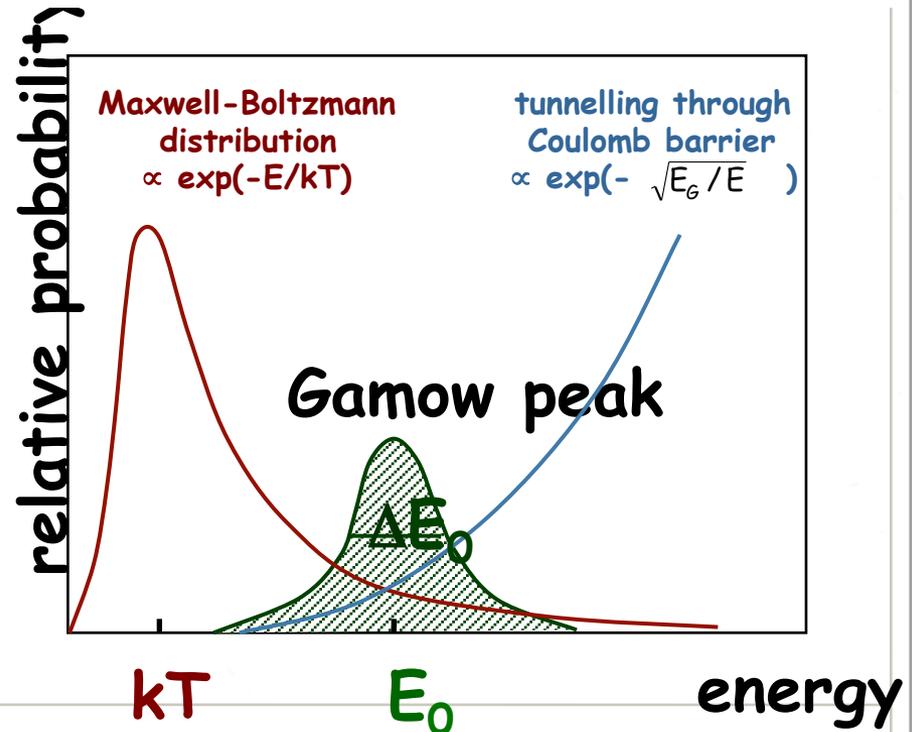
The probability for penetrating the Coulomb barrier goes down rapidly with decreasing energy, but at a given temperature the possibility of having a particle of high energy (and therefore high velocity) decreases rapidly with increasing energy (the red curve).

The sum of these opposing effects produces an energy window for the nuclear reaction: only if the particles have energies approximately in this window can the reaction take place.

$$E_{pp} \sim 20 \text{ keV}$$

$$E_{SN} \sim 300\text{-}800 \text{ keV}$$

$$E_{BBN} \sim 100\text{-}600 \text{ keV}$$



$$E_0 = f(Z_1, Z_2, T)$$



Most favourable energy region varies with reaction and/or temperature

Examples: $T \sim 15 \times 10^6 \text{ K}$ ($T_6 = 15$)

reaction	Coulomb Barrier (MeV)	E_0 (keV)	$\Delta E_0 \exp(-3E_0/kT)$
p + p	0.55	5.9	7.0×10^{-6}
$\alpha + {}^{12}\text{C}$	3.43	56	5.9×10^{-56}
${}^{16}\text{O} + {}^{16}\text{O}$	14.07	237	2.5×10^{-237}



area of Gamow peak \sim
 $\langle \sigma v \rangle$ (height \times width)

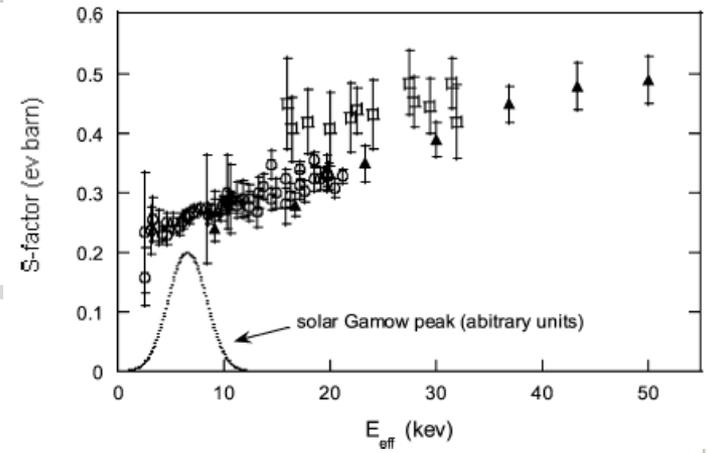
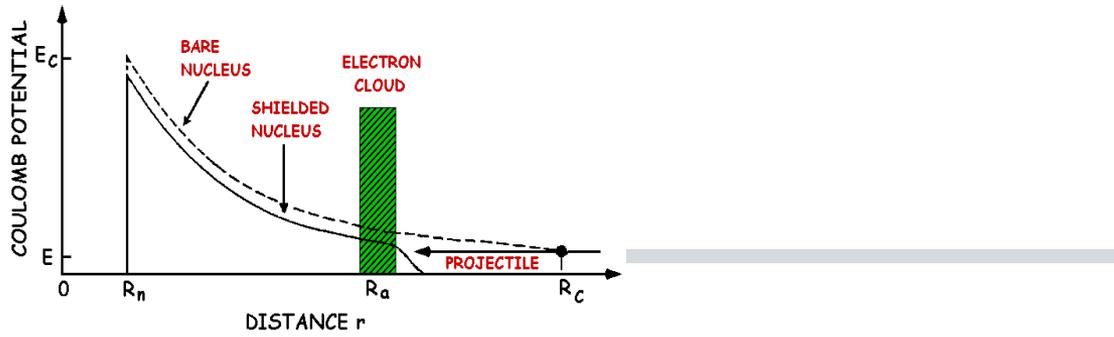
Strong sensitivity to Coulomb barrier



Well-defined stages:

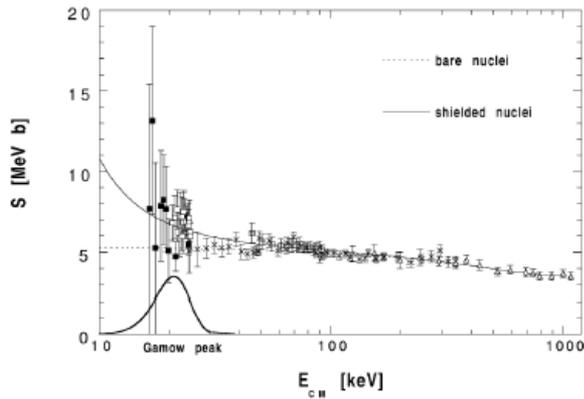
He-burning

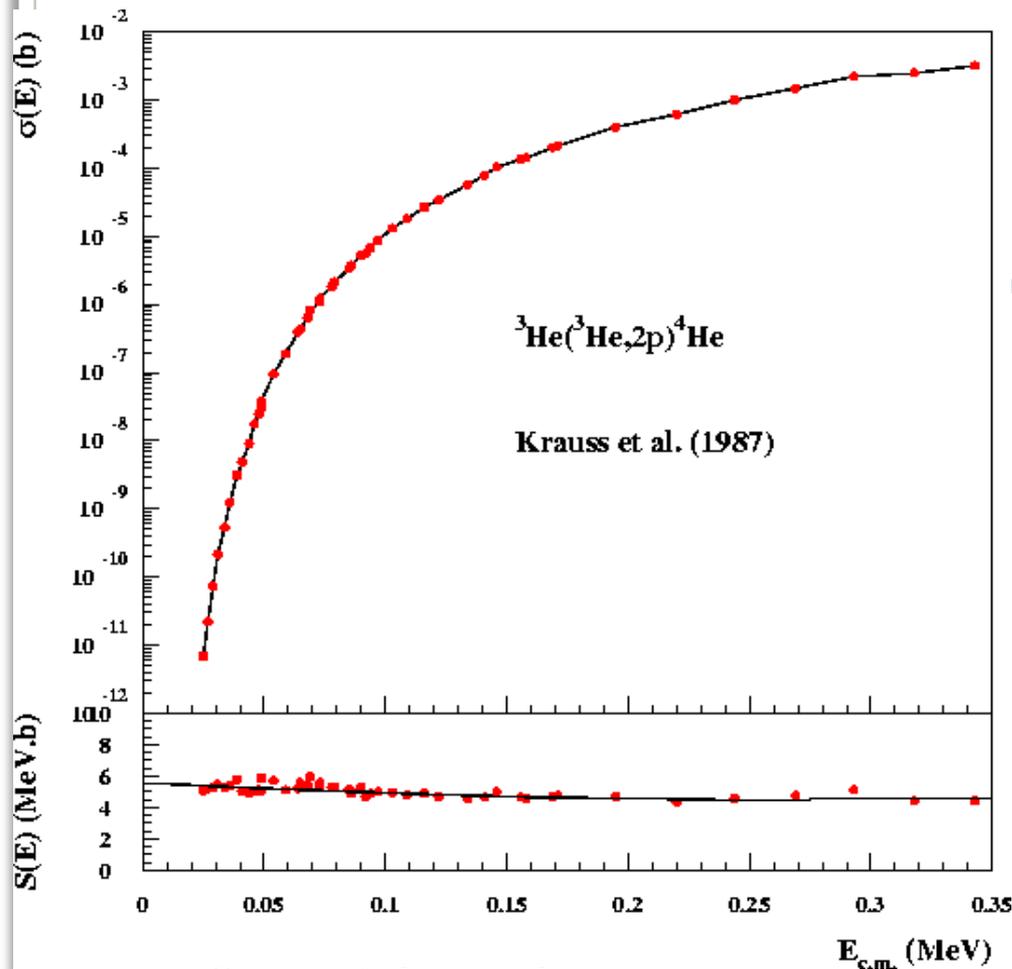
C/O-burning ...



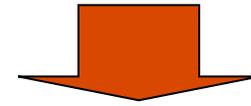
Part 3:

Direct Methods

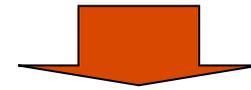




@ Gamow energies



σ in the range nano-picobarn



in general, their direct evaluation is

-severely hindered (1 ev/month)

-and in some cases even beyond present technical possibilities.

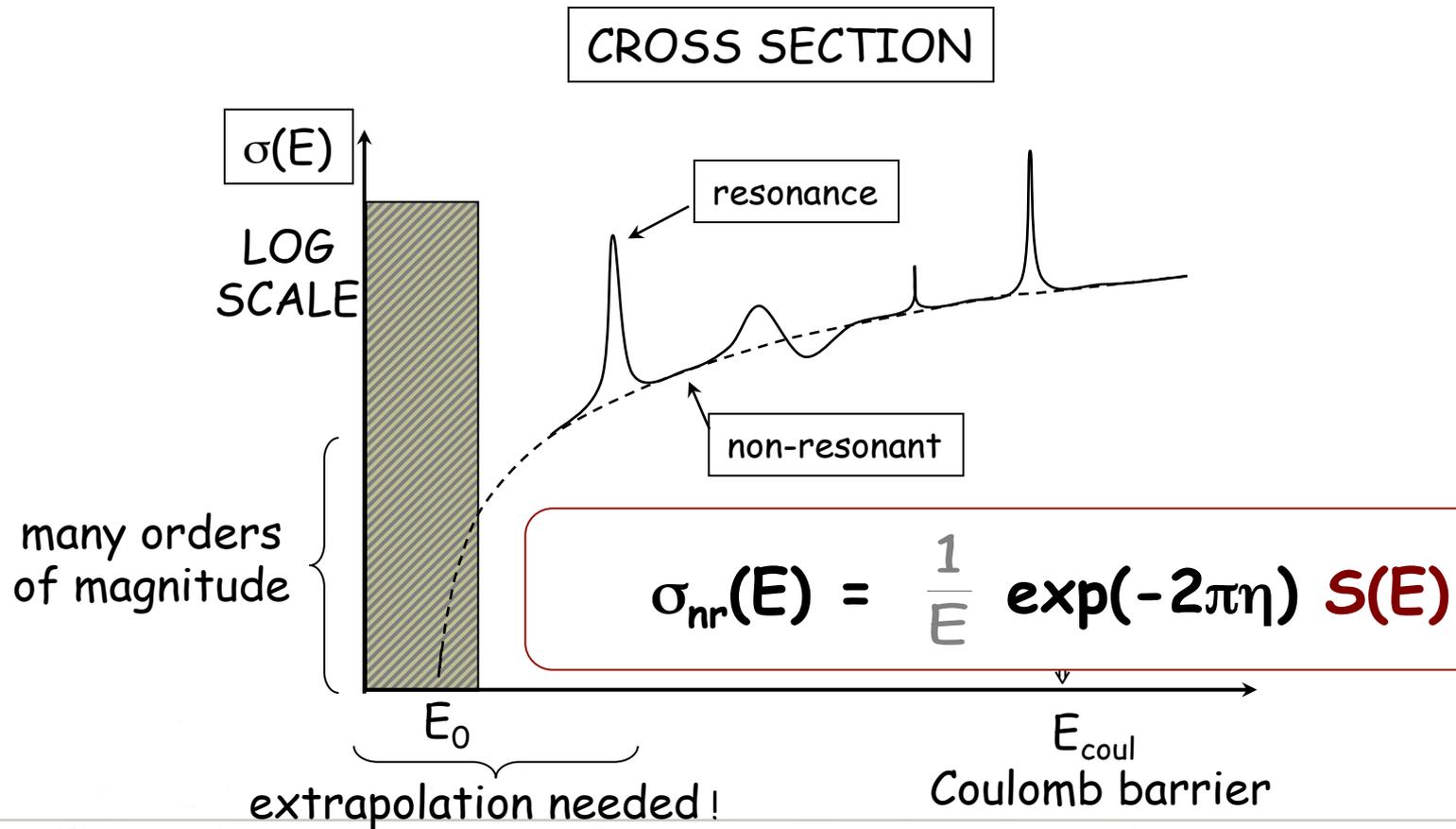
Possible solutions: underground measurements,
extrapolations

Direct Measurement: Perform the experiment with beam-target interacting at astrophysical energies

Experimental procedure Often cross sections are too low to be measured

Bare Nucleus Astrophysical $S(E)$ -factor is introduced for a easier extrapolation.

measurements performed at higher energies



The DANGER OF EXTRAPOLATION ...

large uncertainties in the extrapolation!

Necessary is Maximize the signal-to-noise ratio

SOLUTIONS



- IMPROVEMENTS TO INCREASE
NUMBER OF DETECTED PARTICLES

4 π detectors

New accelerator at high beam
intensity

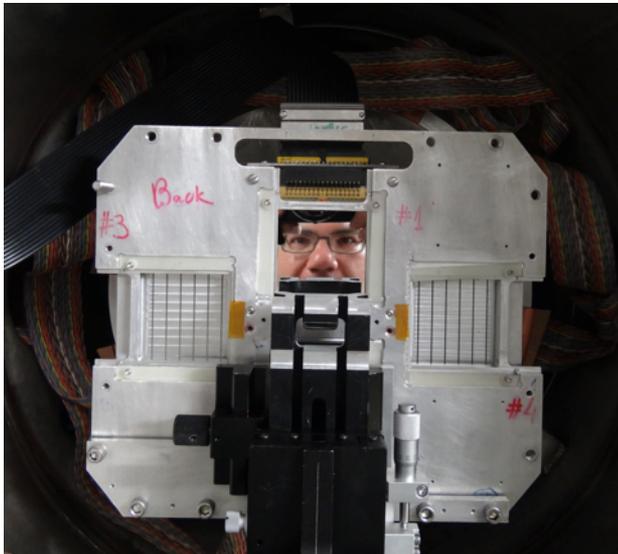
- IMPROVEMENTS TO REDUCE
THE BACKGROUND

Use of laboratory with natural
shield - (underground physics)

Use of magnetic apparatus (Recoil
Mass Separator)

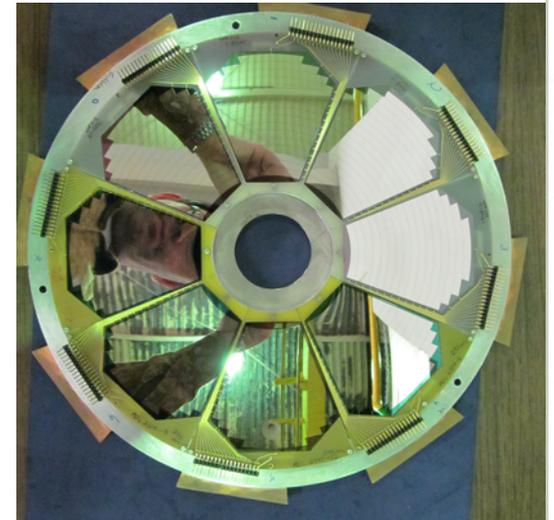


Luna
underground
facility
INFN LNGS



TECSA array

TAMU C.S. &
INFN LNS



Hard Work is necessary

To understand what we see

...



To try to go inside
the problem



"Some people are so crazy that they actually venture into deep mines to observe the stars in the sky"

Naturalis Historia - Plinius, 44 A.D.

LUNA (Laboratory **U**nderground for **N**uclear **A**strophysics)

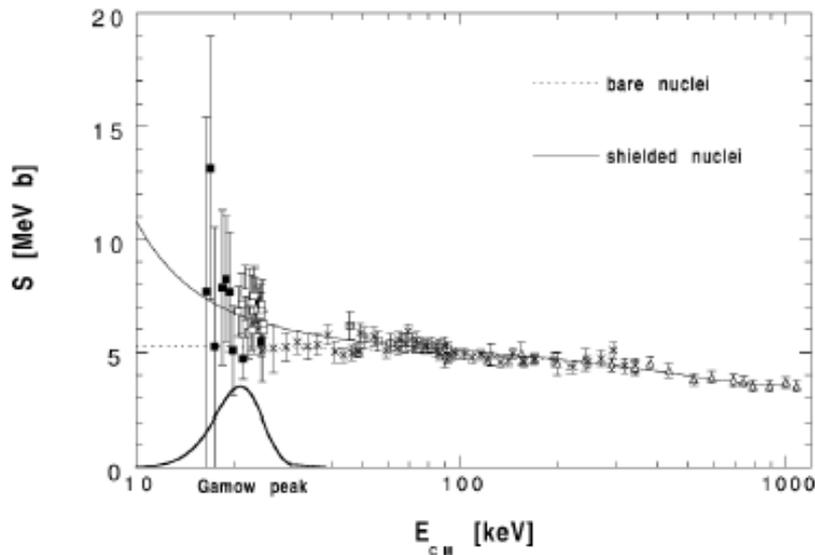
50 kV accelerator @ Gran Sasso - Italy

(1400 m rock -> 10^6 shielding factor)

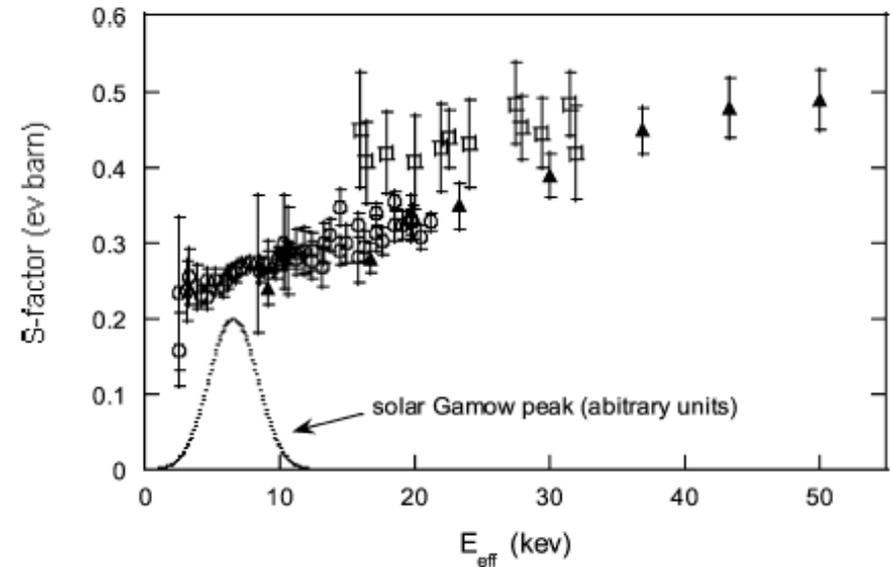
Two reactions (solar pp chain) already studied at **Gamow peak**:



R. Bonetti et al.: Phys. Rev. Lett. 82 (1999) 5205



C. Casella et al.: Nucl. Phys. A706 (2002) 203



At lowest energy: $\sigma \sim 20$ fb \rightarrow 1 event/month

At lowest energy: $\sigma \sim 9$ pb \rightarrow 50 counts/day

However

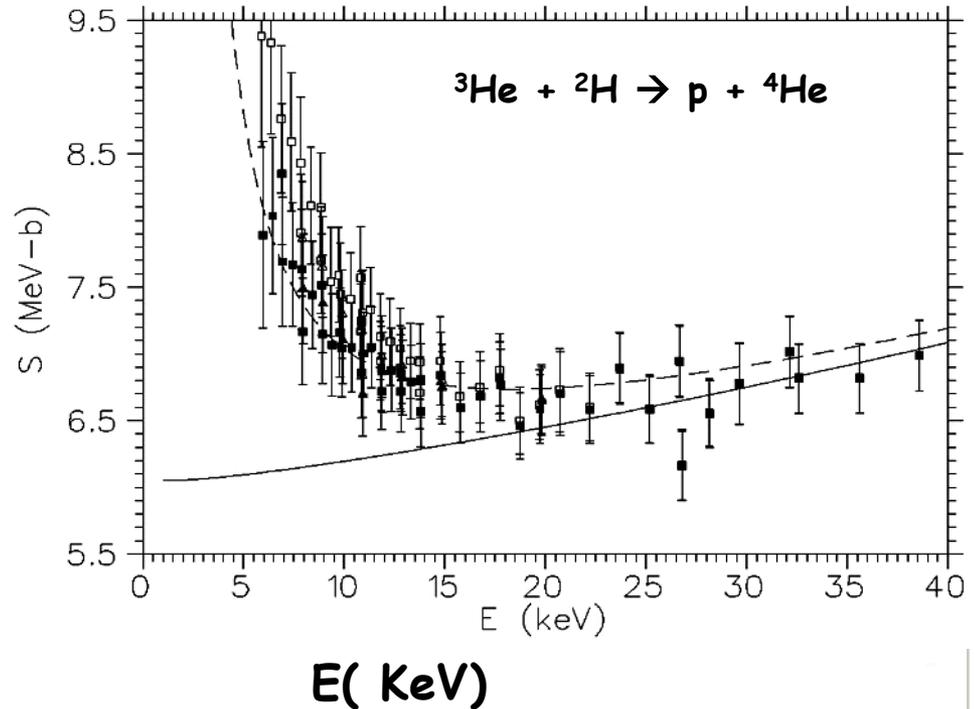
The electron screening effect must be taken into account at such low energies

(Assenbaum, Langanke, Rolfs: Z.Phys.327(1987)461)

In the accurate measurements for the determination of nuclear cross-sections at the Gamow energy, in laboratory, enhancement $f_{\text{lab}}(E)$ -factor in the astrophysical $S_b(E)$ -factor has been found

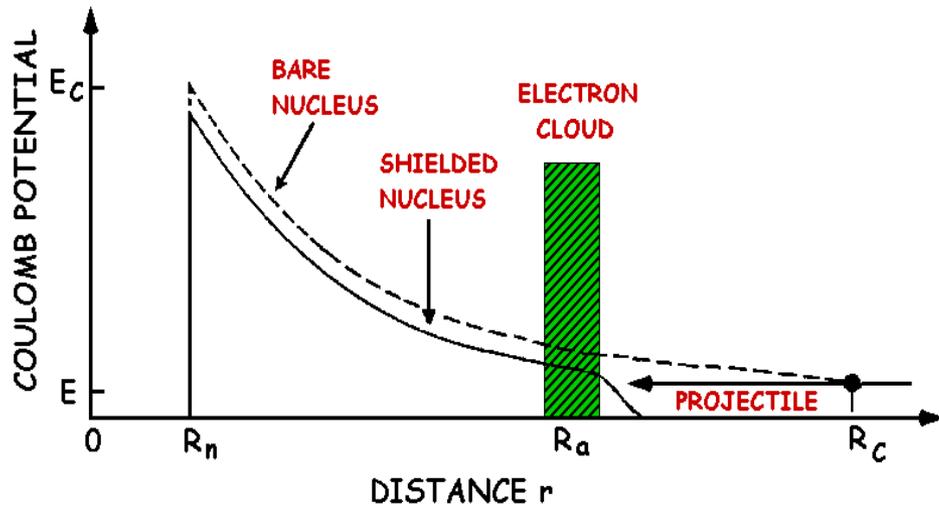
$$S_{Sh} \propto S_b \cdot e^{\frac{\pi\eta U_e}{E}}$$

$S(E)$ (MeVb)



Electron Screening

At astrophysical energies the presence of electron clouds must be taken into account in laboratory experiments.



The atomic electron cloud surrounding the nucleus acts as a screening potential U_e

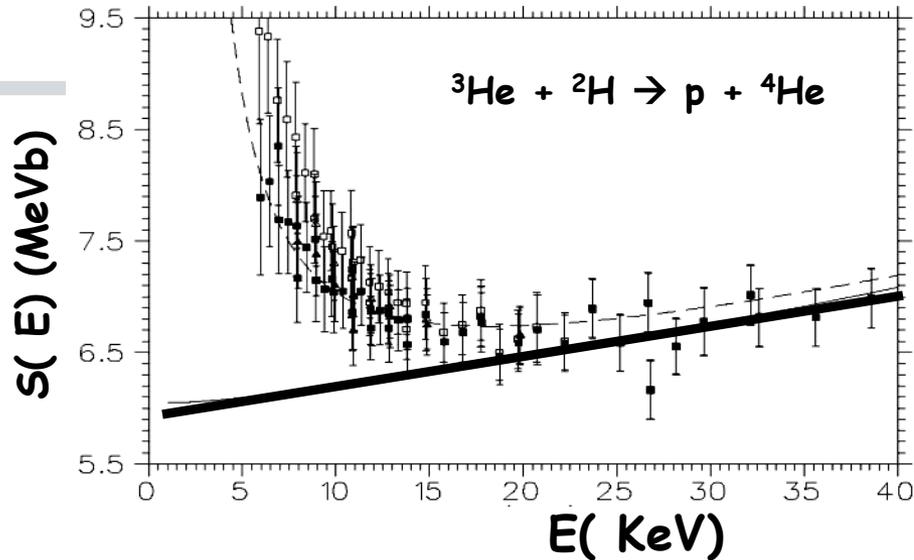
- Phenomenological approach

(Assenbaum H.J. et al.: 1987, Z. Phys., A327, 461)

$$U_e = \frac{Z_1 Z_2 e^2}{R_a}$$

Electron screening in the laboratory

Direct Measurements



An experimental measurement
of U_e allows:

- a determination of S_b (applications)
- to study electron screening in laboratory conditions and then in stellar plasma

Stellar Screening \neq Laboratory Screening

Experimental
Data
(Shielded)



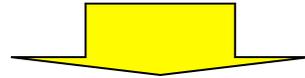
Extrapolation of S_b (Bare)
Autofitting procedure



Correction for stellar screening
(Debye-Hückel theory)

Since direct measurement are extremely time consuming and difficult (at astrophysical energies) or sometimes beyond present possibilities

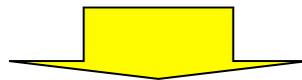
Independent measurements of cross sections and electron screening potential U_e are needed !!!



We need to be CLEVER: NEW IDEAS ARE NECESSARY

-to measure cross sections at never reached energies

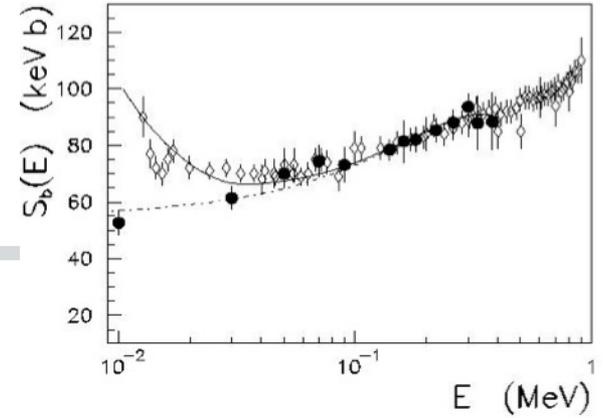
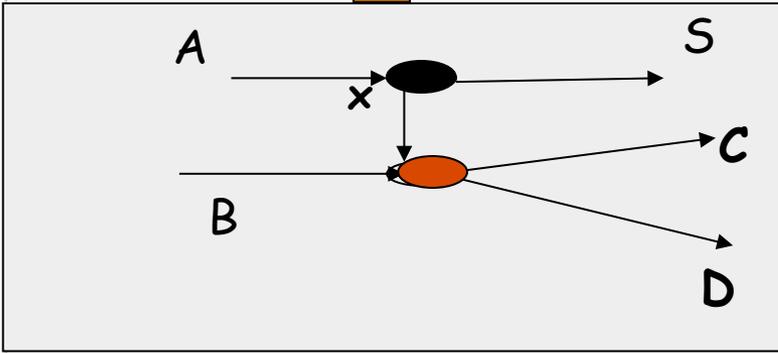
-to retrieve information on electron screening effect when ultra-low energy measurements are available.



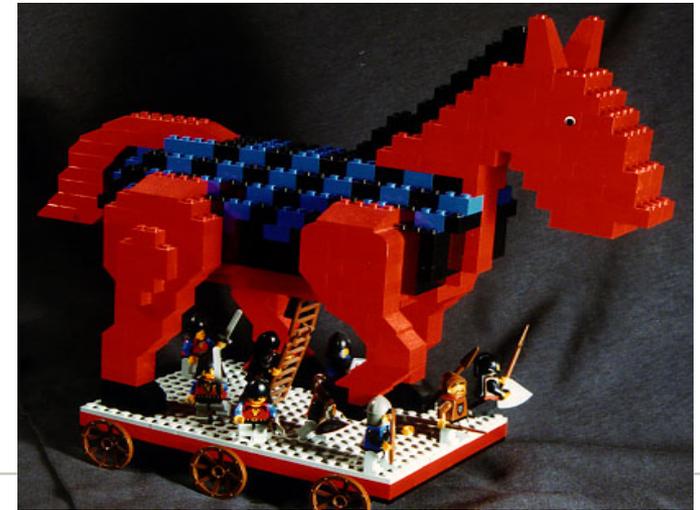
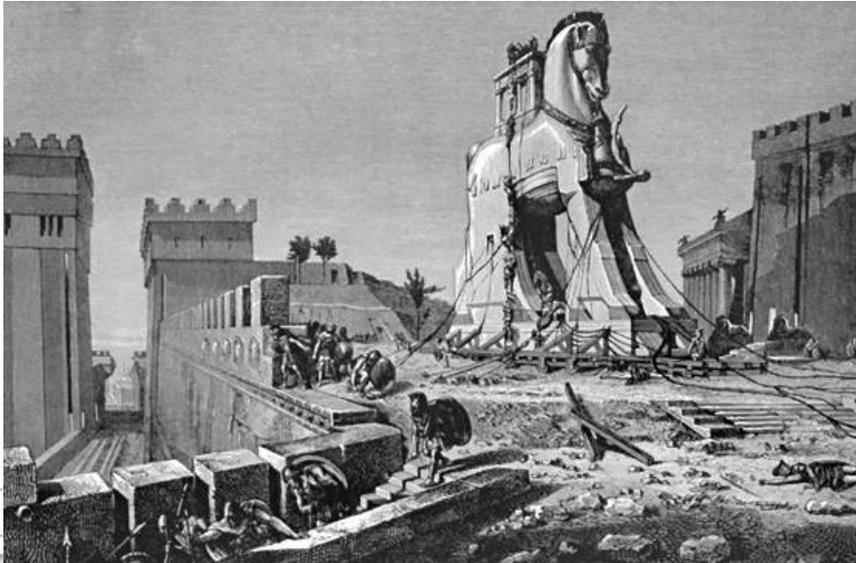
**INDIRECT METHODS
ARE NEEDED**

Indirect Methods in Nuclear Astrophysics (both stable and unstable beams)

- Coulomb Dissociation
- ANC & transfer reactions
- Trojan Horse Method
- Break-up of loosely bound nuclei
- β -decay, resonant elastic scattering ...



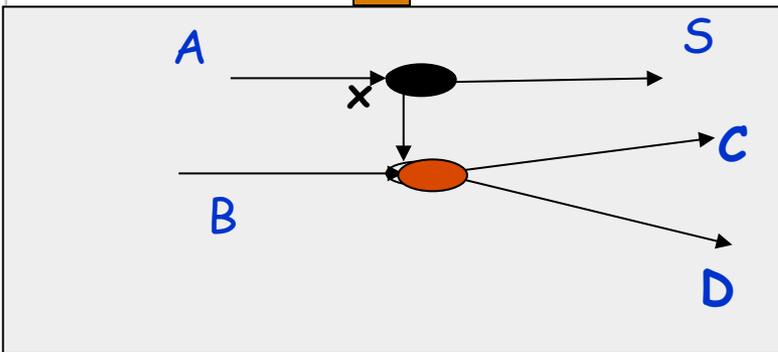
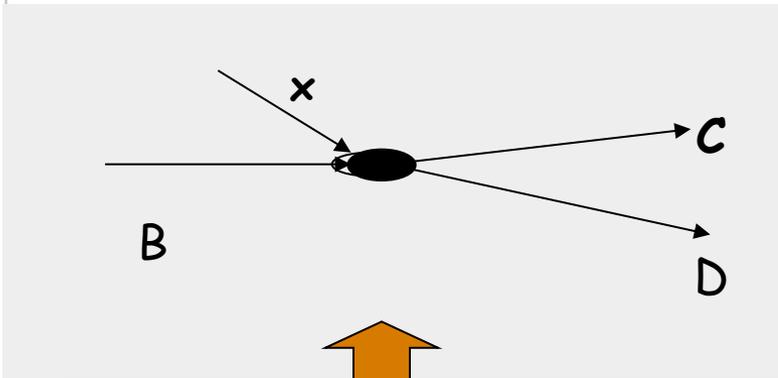
Part 4: Trojan Horse Method



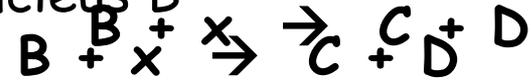
Trojan Horse Method

Quasi-Free mechanism

Basic idea:



- The A nucleus present a strong cluster structure: $A = x + S$ clusters. It is possible to extract astrophysically the relevant two-body cross section σ .
- The x cluster (participant) interacts with the nucleus B



from quasi-free contribution of an appropriate three-body reaction

- The S cluster acts as a spectator (it doesn't take part to the reaction) and retains the same momentum it had in the entrance channel



We can extract astrophysically relevant two-body cross section σ

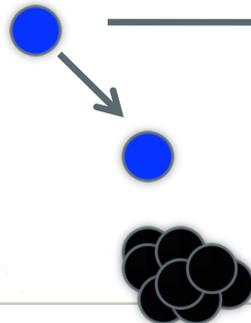
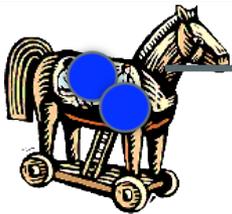
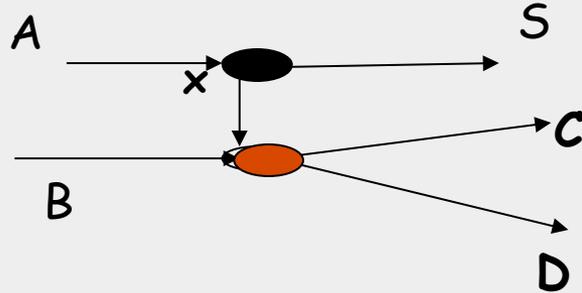
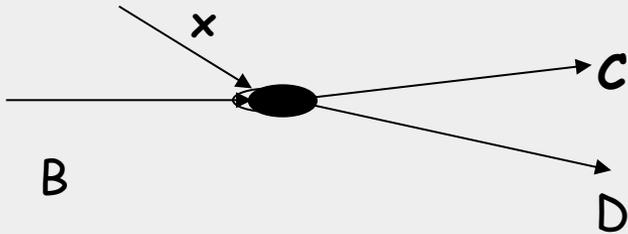


from quasi-free contribution of an appropriate three-body reaction



Coulomb Barrier Suppression

Once Coulomb barrier is overcome by TH nucleus the astrophysical reaction can take place without any evident suppression

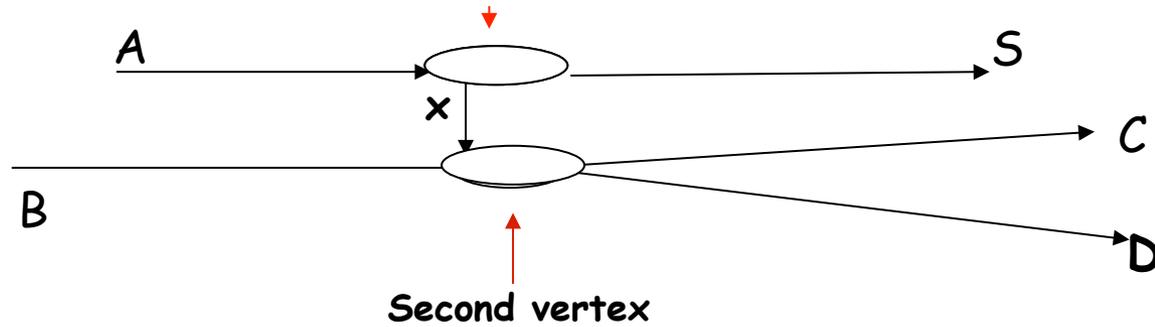


Nuclear astrophysics experiments are fun because you never know what you're going to have as a result...



**And like gambling
You hardly have money
to cover your expenses**

**But sometimes you win..
And you get results**



In Plane
of the

virtual reaction $x + B \rightarrow C + D$
corresponding to the two vertices

$$\frac{d^3\sigma}{dE_c d\Omega_c d\Omega_D} \propto \text{KF} \quad \left[\Phi(q)_{xs} \right]^2 \quad \left[\frac{d\sigma}{d\Omega} \right]_{x + B \rightarrow C + D}$$

First vertex

Second vertex

KF kinematical factor

$|\Phi(q_{xs})|^2$ describes the intercluster (x-S) momentum distribution

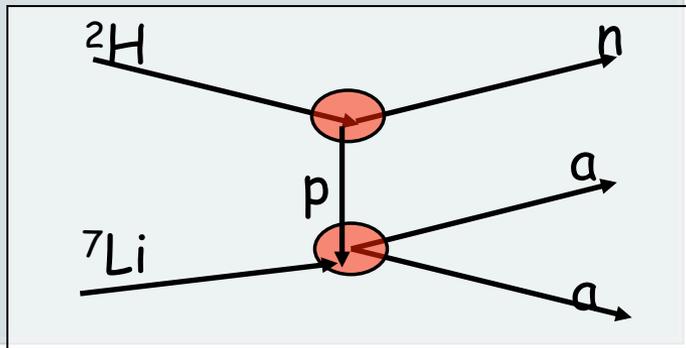
$(d\sigma/d\Omega)$ two-body cross section of the virtual reaction $x + B \rightarrow C + D$

Advantages: Simple & cheap Experimental setup

THM: study of the ${}^7\text{Li}(p,\alpha){}^4\text{He}$ reaction from
the 3-body one:



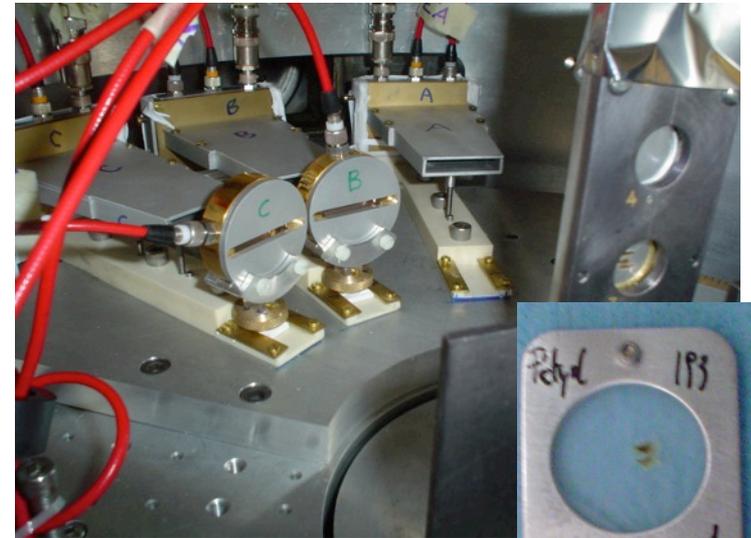
TH nucleus deuteron, $E_{\text{beam}} = 21 \text{ MeV @ LNS}$
Catania



Beam energy much higher than
Barrier

Angles were selected in such a way
that the yield from (the probable)
quasi-free mechanism is maximum

Beams and Targets cheap.
Detectors set-up trivial

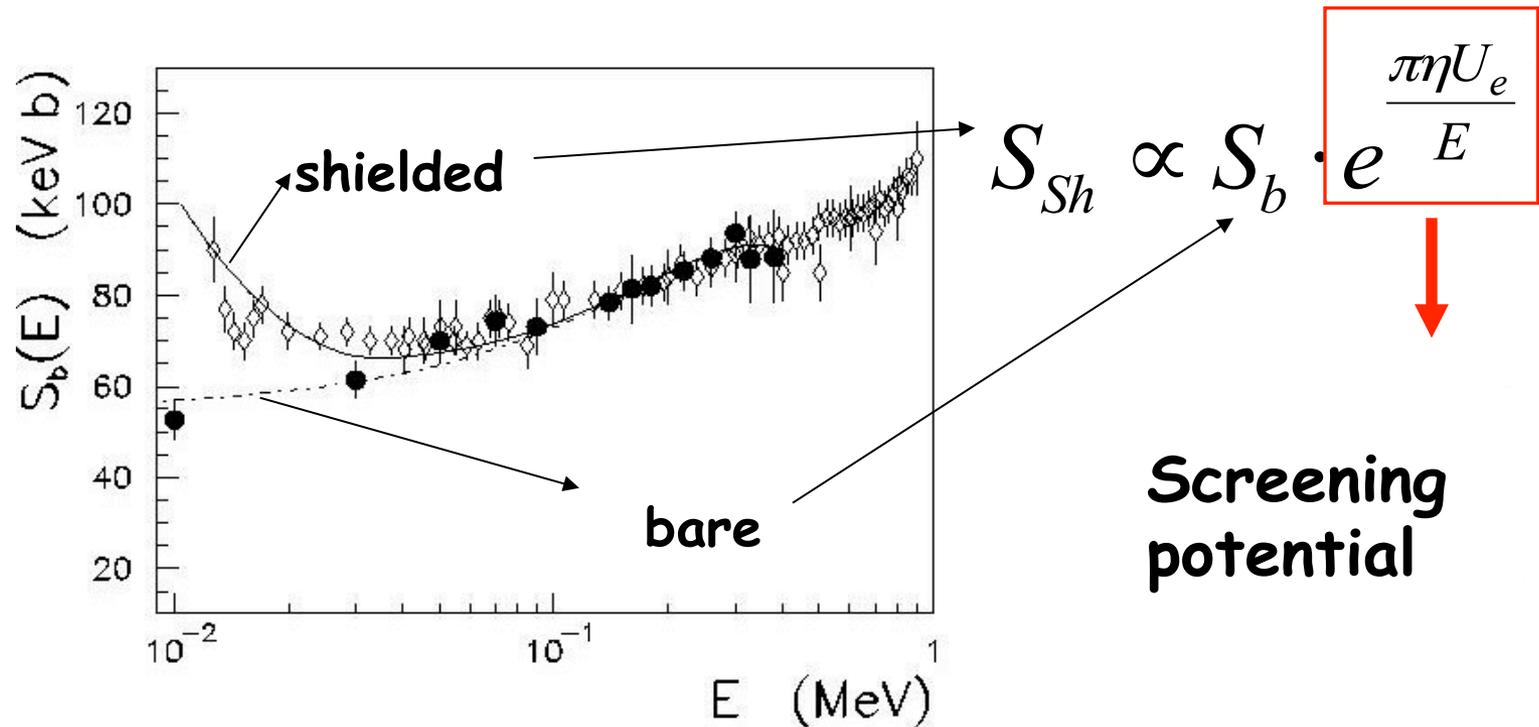


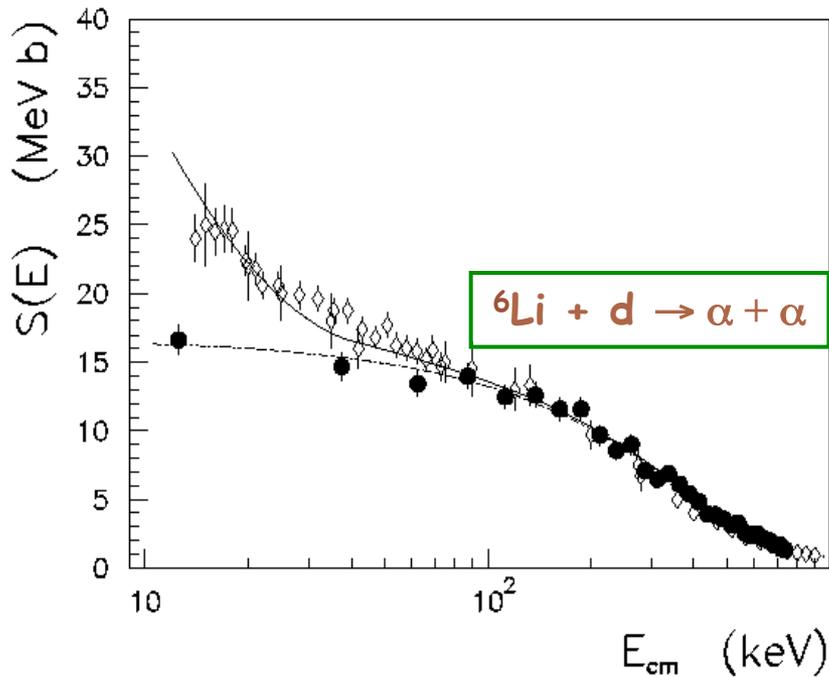
CD2 Target

Good ideas make research possible in tough times!!

Results

- If one assumes that THM gives the bare nucleus S factor (according to its properties) then by comparing it with direct data one can get the electron screening potential





$$U_e = 340 \pm 50 \text{ eV}$$

$$U_{ad} = 186 \text{ eV}$$

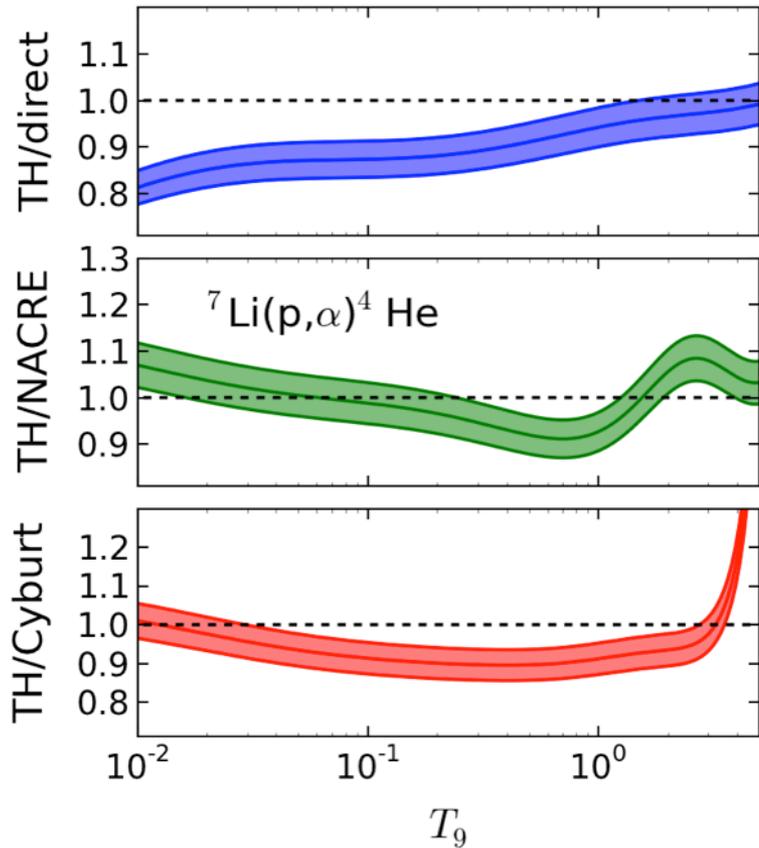
$$S_0 = 16.9 \text{ MeV b}$$

◇ Engstler S. et al.: 1992, Z. Phys., A342, 471

• C. Spitaleri et al.: 2001, Phys. Rev. C. 63, 055801

- No screening effect at $E < 100$ keV for indirect data;
- Direct and indirect methods are complementary;
- Independent determination of $S_b(E)$ and U_e ;
- Previous extrapolations of S_b are confirmed.

Reaction Rate



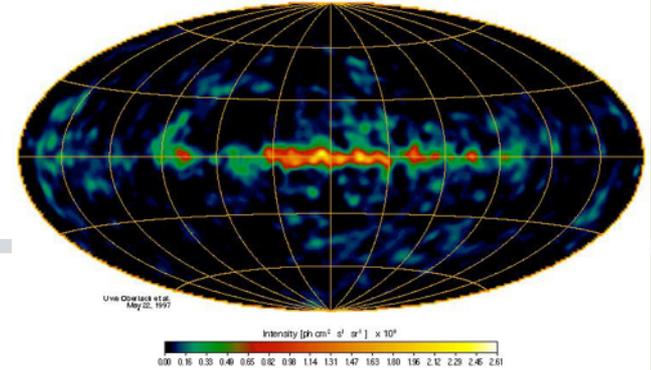
$$\langle \sigma v \rangle_{12} = \left(\frac{8}{\pi \mu_{12}} \right)^{1/2} \frac{1}{(kT)^{3/2}} \int_0^{\infty} \sigma(E) \exp\left(-\frac{E}{kT}\right) E dE$$

Reaction rate obtained for the ${}^7\text{Li}(p,\alpha){}^4\text{He}$ from THM measure compared with other compilations

Coll. R.G.P, R. Spartà & C.B.



CGRO / COMPTEL 1.8 MeV, 5 Years Observing Time



Part 5: ${}^6,7\text{Li}$ and its astrophysical relevance

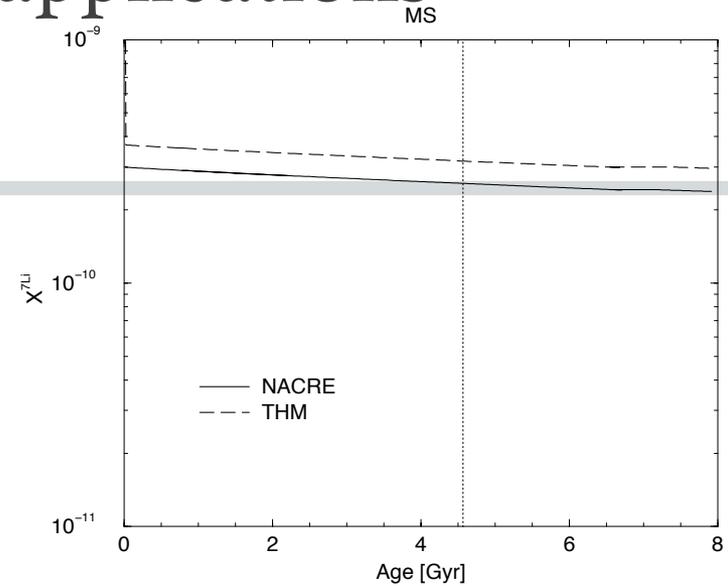
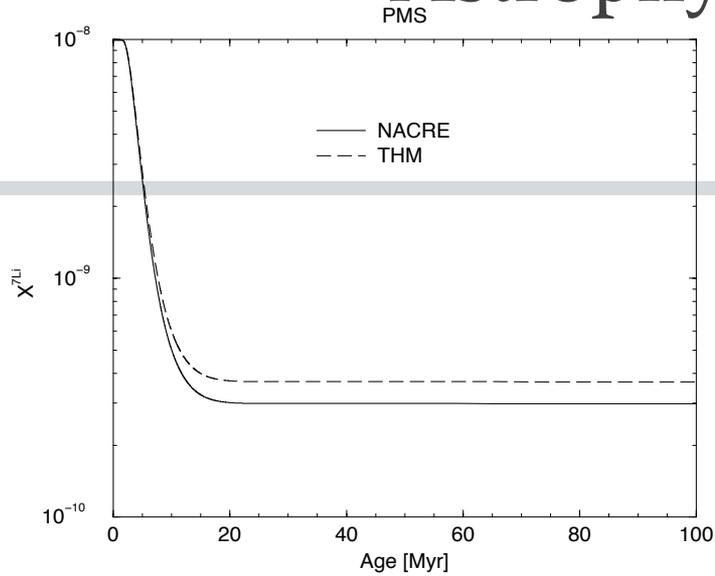


Lithium is important for:



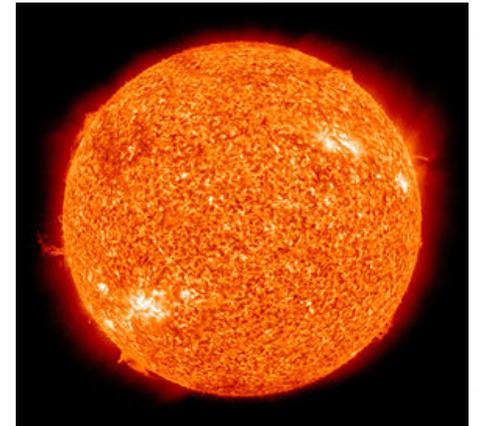
- Probing stellar interiors and structure (need of abundances measurements, stellar modeling, Astro-seismology)
- Probing Primordial nucleosynthesis and early universe
- Fusion reactors and electron screening application

Astrophysical applications

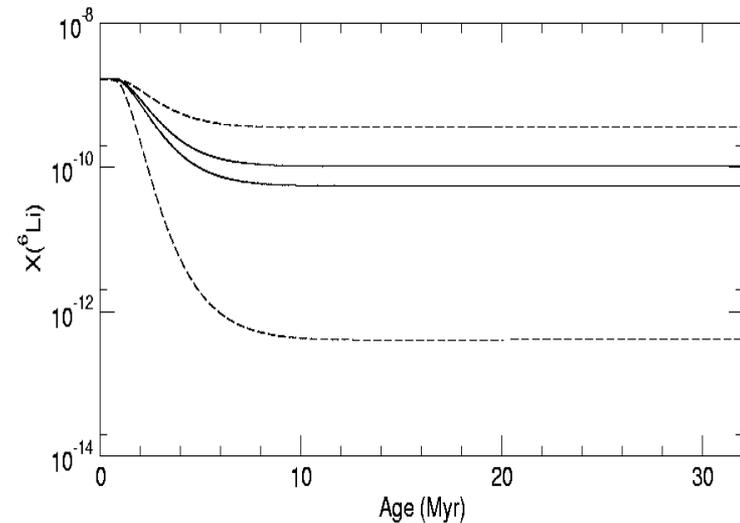
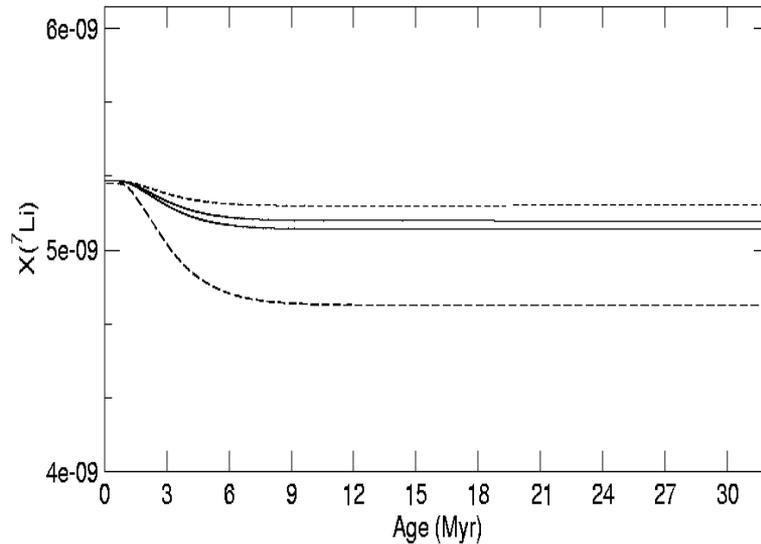


**Lithium surface abundance for the Sun,
Good agreement with NACRE results**

RPG et al., A&A 2003



Lithium Destruction in disk stars: astrophysical Uncertainties vs. nuclear inputs



Solid lines: THM uncertainties for nuclear rates

Dashed lines: Astrophysical uncertainties (mass=0.9-1 M_{\odot} , He abundance =0.24-0.27, convection efficiency)



The Collaboration



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BOOKS



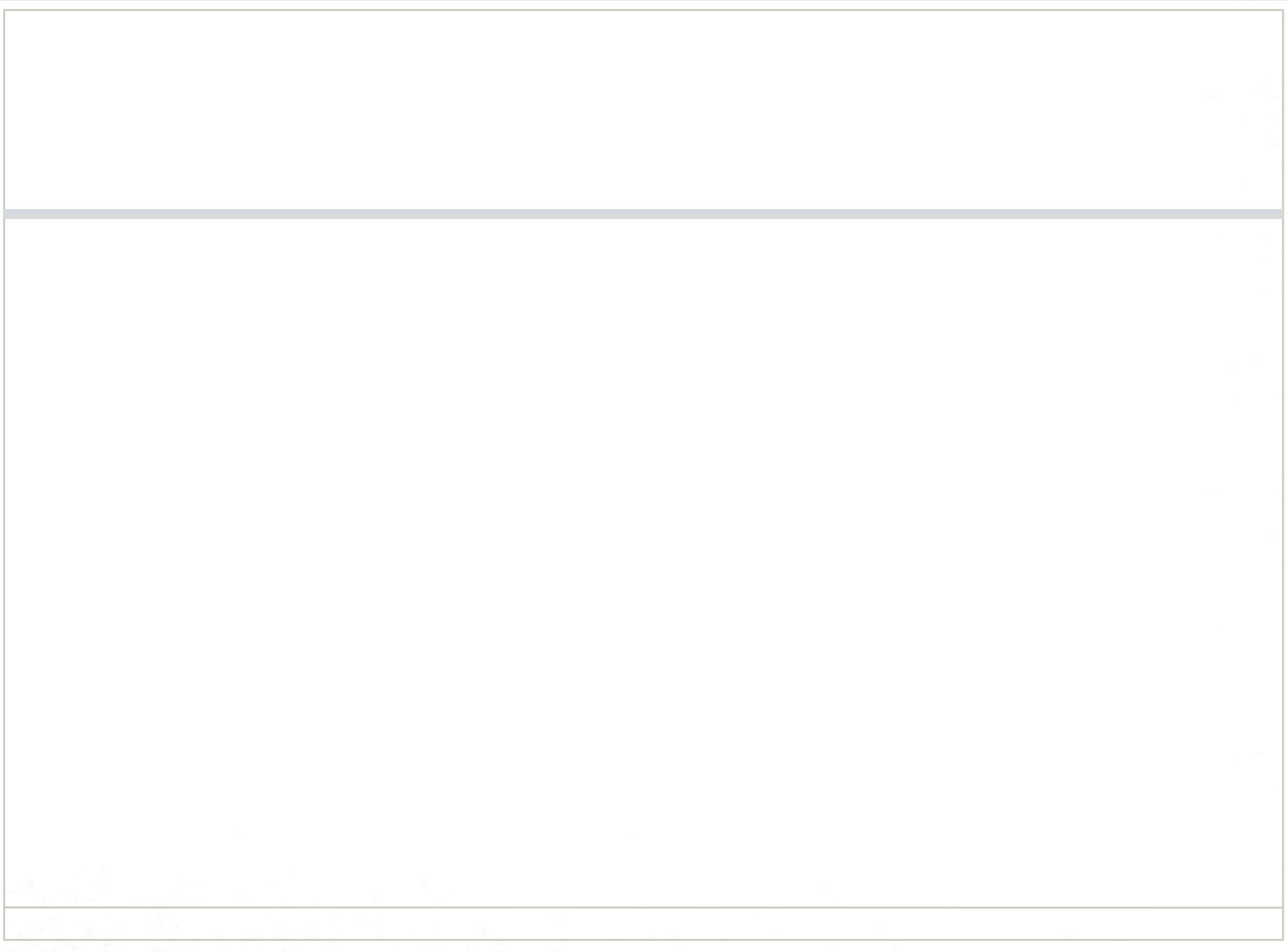
- W.D. Arnett & J.W. Truran *Nucleosynthesis*
The University of Chicago Press, 1968
- E. Böhm-Vitense *Introduction to Stellar Astrophysics, vol. 3*
Cambridge University Press, 1992
- D.D. Clayton *Principles of stellar evolution and nucleosynthesis*
The University of Chicago Press, 1983
- C. Bertulani *Nuclear Physics in a Nutshell*
Princeton Univ. Press
- C.E. Rolfs and W.S. Rodney *Cauldrons in the Cosmos*
The University of Chicago Press, 1988
- C. Iliadis *Nuclear Physics of Stars - Wiley*

REVIEW PAPERS



Not an exhaustive list!!

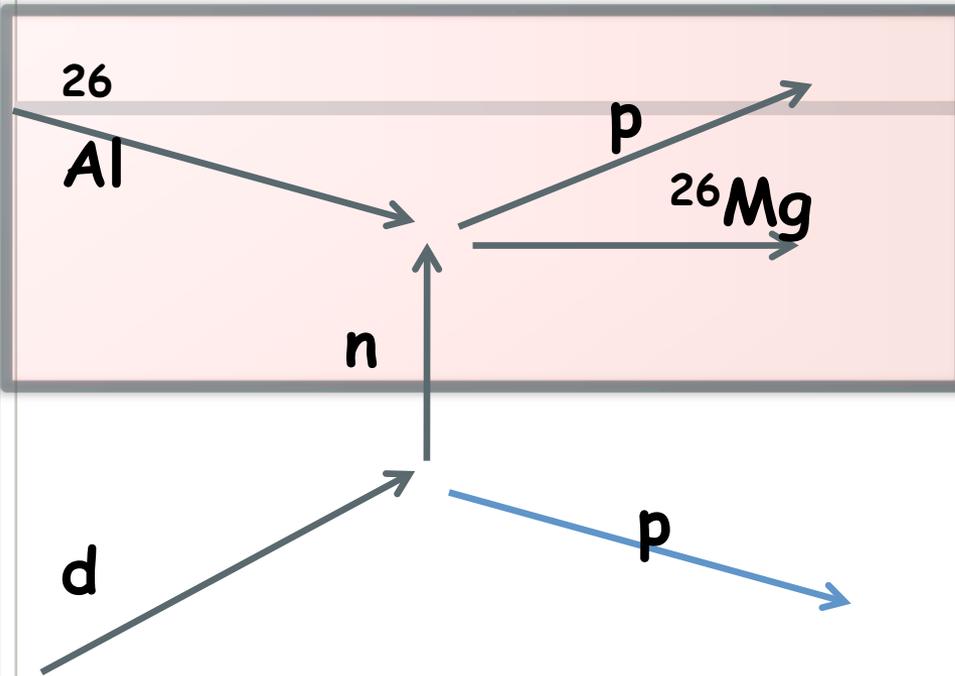
- | | | |
|--------------------|---------------------------------------|-----------------------------------|
| R. Boyd: | Nucl. Phys. A693 (2001) 249-257 | <i>Big Bang Nucleosynthesis</i> |
| C. Rolfs: | Progr. Part. Nucl. Phys. 46 (2001) 23 | <i>Nuclear reactions in stars</i> |
| Thielemann et al.: | Part. Nucl. Phys. 46 (2001) 5-22 | <i>Element synthesis in stars</i> |
| Spitaleri et al.: | Phys. Rev. C (2001) 055801 | <i>Trojan Horse Method</i> |



The main difficulties for experimental measurement of this cross section derive from:

- ^{26}Al is an unstable isotope. Moreover also cross sections of reactions induced by metastable state should be known with good precision
- Necessity of a n beam at astrophysical energies

Necessity of a THM measurement



Quasi-free break-up of deuteron
Beam Energy around 60 MeV
Coincidence detection of p and ^{26}Mg .

This will allow to measure the
excitation
function of the reaction of
interest
In the astrophysical energy
range
(0-1 MeV)

Once the 3-particle in exit channel reaction cross section is measured, one can

Extract the binary cross section at astrophysical energies according to the prescriptions of the THM

Resonant reactions

1. Narrow resonances $\Gamma_R \ll E_R$

Breit-Wigner formula

$$\sigma(E)_{\text{BW}} = \pi \lambda^2 (1 + \delta_{12}) \frac{2J+1}{(2J_1+1)(2J_2+1)} \frac{\Gamma_a \Gamma_b}{(E-E_r)^2 + (\Gamma/2)^2}$$

Insert in expression for reaction rate, integrate and get:

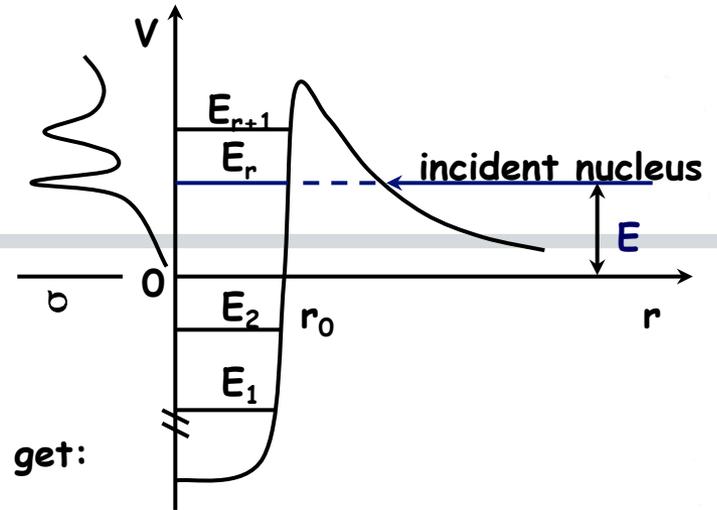
$$\langle \sigma v \rangle_{12} = \left(\frac{2\pi}{\mu_{12} kT} \right)^{3/2} \hbar^2 (\omega\gamma)_R \exp\left(-\frac{E_R}{kT}\right) \quad (\text{for single resonance})$$

$$\langle \sigma v \rangle_{12} = \left(\frac{2\pi}{\mu_{12} kT} \right)^{3/2} \hbar^2 \sum_i (\omega\gamma)_i \exp\left(-\frac{E_{R_i}}{kT}\right) \quad (\text{for many resonances})$$

$$(\omega\gamma)_R = (1 + \delta_{12}) \frac{2J+1}{(2J_1+1)(2J_2+1)} \frac{\Gamma_a \Gamma_b}{\Gamma} \quad \begin{array}{l} \text{resonance strength} \\ \rightarrow \text{integrated cross section over resonant region} \end{array}$$

Experiment: determine $(\omega\gamma)_R$ and E_R

N.B. $\langle \sigma v \rangle_{12} \propto \exp\left(-\frac{E_R}{kT}\right) \Rightarrow$ Low-energy resonances ($E_R \rightarrow kT$) are VERY important



2. Broad resonances $\Gamma_R \sim E_R$

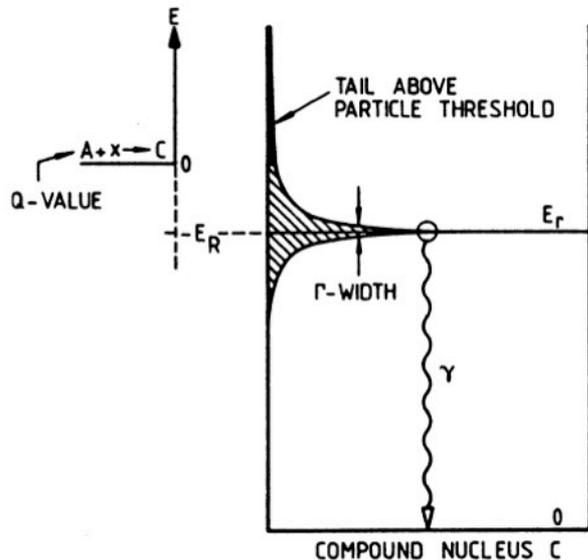
Breit-Wigner formula

+

energy dependence of partial and total widths

N.B. Overlapping broad resonances of same J^π
 \rightarrow interference effects

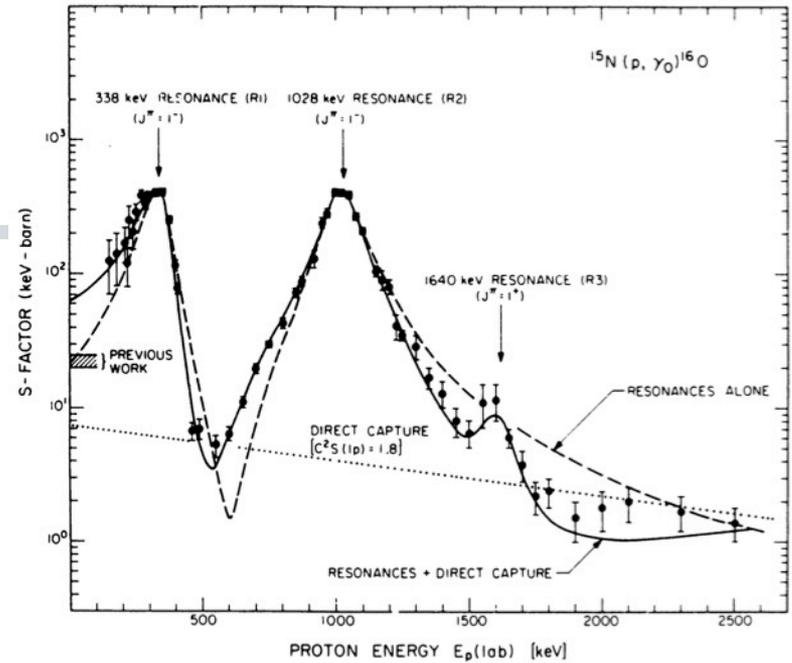
3. Sub-threshold resonances



S-factor can be entirely dominated
 by contribution of sub-threshold state(s)

if interference effects are negligible,
 total reaction rate

$$\langle \sigma v \rangle_{\text{tot}} = \langle \sigma v \rangle_r + \langle \sigma v \rangle_{\text{nr}}$$



However

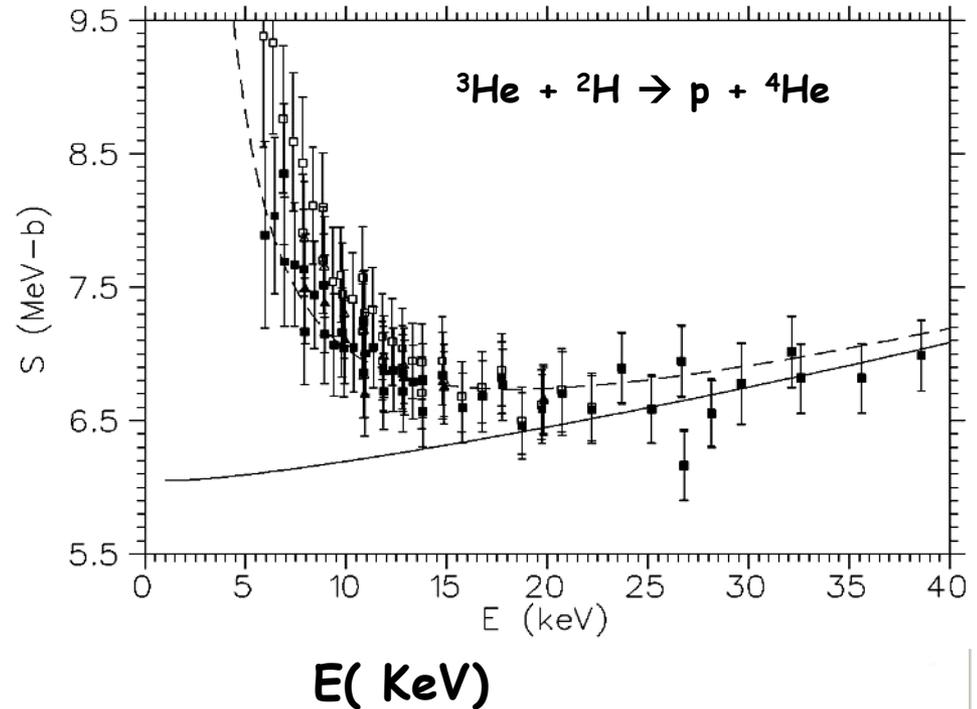
The electron screening effect must
be taken into account

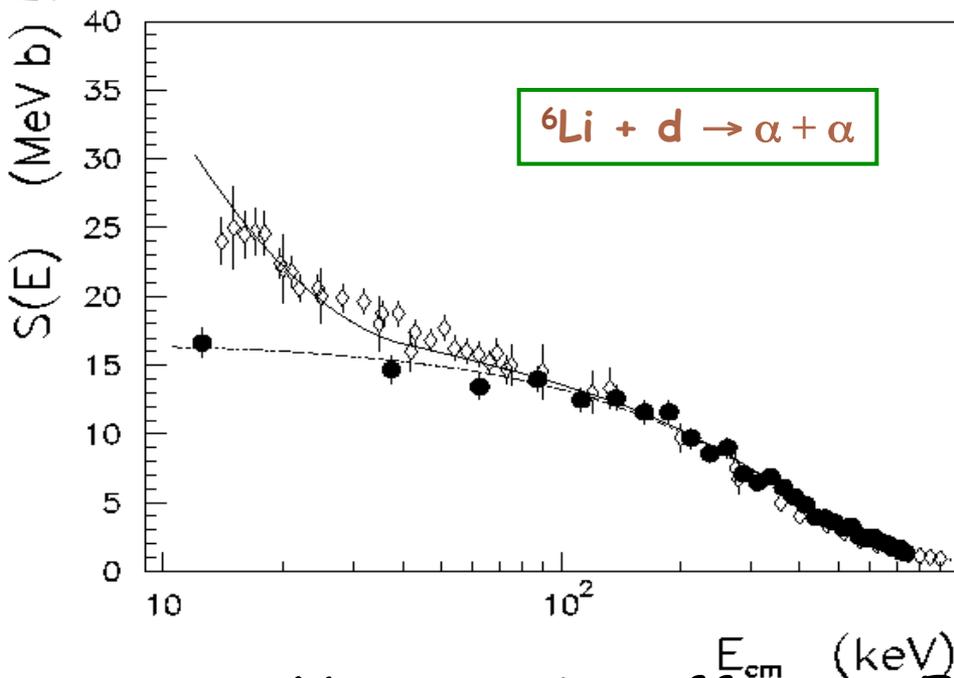
(Assenbaum, Langanke, Rolfs: Z.Phys.327(1987)461)

In the accurate measurements
for the determination of
nuclear cross-sections at the
Gamow energy, in laboratory,
enhancement $f_{\text{lab}}(E)$ -factor in
the astrophysical $S_b(E)$ -factor
has been found

$$S_{Sh} \propto S_b \cdot e^{\frac{\pi\eta U_e}{E}}$$

$S(E)$ (MeVb)





$$U_e = 340 \pm 50 \text{ eV}$$

$$U_{ad} = 186 \text{ eV}$$

$$S_0 = 16.9 \text{ MeV b}$$

◇ Engstler S. et al.: 1992, Z. Phys., A342, 471

• C. Spitaleri et al.: 2001, Phys. Rev. C. 63, 055801

S. Cherubini et al.: 1996 Ap. J., 457, 855

- No screening effect at $E < 100$ keV for indirect data;
- Direct and indirect methods are complementary;
- Independent determination of $S_b(E)$ and U_e ;
- Previous extrapolations of S_b are confirmed.

Data Analysis Phases:

- Find the 3-body reaction of interest among the ones occurring in the target.
- Separate the quasi-free mechanism from all the others
- Measure the binary reaction cross section from the three body one
- Normalization and comparison to direct data: validity test and measurement of astrophysical interest
- Extraction of electron screening potential, reaction rate and so on.

Two body reaction takes place at:

$$E_{qf.} = E_{Bx} - B_{x-s} = E_{cD} - Q_{2b}$$

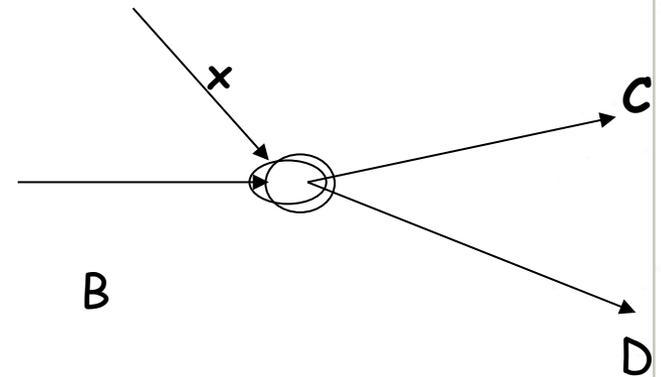
Where

E_{Bx} is the beam energy in the center of mass of the two body reaction

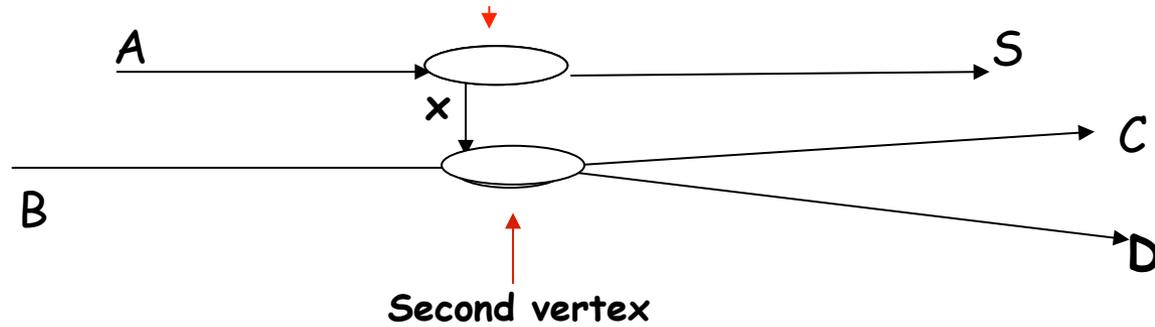
B_{x-s} binding energy of the two clusters inside the Trojan Horse plays a key role in compensating for the beam energy



(under proper kinematical conditions)



$$E_{qf.} \sim 0$$



virtual reaction $x + B \rightarrow C + D$
 corresponding to the two vertices

$$\frac{d^3\sigma}{dE_c d\Omega_c d\Omega_D} \propto \text{KF} \quad \underbrace{[\Phi(q)_{xS}]^2}_{\text{First vertex}} \quad \underbrace{\left[\frac{d\sigma}{d\Omega} \right]}_{\text{Second vertex}} \quad x + B \rightarrow C + D$$

KF kinematical factor

$|\Phi(q_{xS})|^2$ describes the intercluster (x-S) momentum distribution

$(d\sigma/d\Omega)$ two-body cross section of the virtual reaction $x + B \rightarrow C + D$