

SRINIVAS NIPPANI, PhD.
This Version : August 5, 2021

Regents Professor of the Texas A&M University System and Professor of finance
Department of Accounting and Finance, PO Box 3011
College of Business, Texas A&M University-Commerce
Commerce, TX 75429-3011
Tel: 903-886-5659 (Department Secretary)
Email: Sri.Nippani@tamuc.edu

EDUCATION

Doctor of Philosophy (1998), Major: Finance, Sam M. Walton College of Business, University of Arkansas, Fayetteville, Arkansas. Beta Gamma Sigma.

Master of Science by Research (1991), Major Thesis: Finance, Indian Institute of Technology, Madras (now Chennai), India.

Master of Commerce (1987), Osmania University, Hyderabad, India.

Bachelor of Commerce (1985), Osmania University, Hyderabad, India.

EXPERIENCE

Regents Professor of the Texas A & M University System and Professor of finance (November 2015-date) and Finance Programs Coordinator (Summer 2020-Date): Department of Accounting and Finance, College of Business, Texas A&M University-Commerce, Commerce, TX. I have previously held the following positions at this department in TAMU-Commerce. When serving as Director of Graduate Programs in finance, I was involved in the planning, co-coordinating, and conducting M.S. finance comprehensive exams and advising M.S. (finance) students.

Professor of finance (Tenured) (September 1, 2009, to November 2015 and Director of Graduate Programs in Finance (September 2006-Dec 2010):

Associate Professor (Tenured) Major Advisor/Director of Graduate Programs in finance: (September 2006 to August 31, 2009)

Associate Professor (Tenured) of finance: (September 2005 to August 2006)

Assistant Professor of finance (Untenured) (September 1, 2002, to August 2005)

Assistant Professor (January 1999 to July 2002): School of Business, The University of Arkansas at Monticello, Monticello, AR.

Ph.D. Student/Teaching/Research Assistant/Master Lecturer (August 1994 to December 1998): Department of Finance, Sam Walton School of Business, University of Arkansas, Fayetteville, AR.

Lecturer in Finance (October 1991 to August 1994): Pragati Post-Graduate College, Osmania University, Hyderabad, India.

Research Scholar/Graduate Assistant (January 1989 to October 1991): Master's student at the Indian Institute of Technology, Madras, India.

Lecturer in Accounting, Economics and Related Areas (November 1987 to December 1988) part-time and full-time work in Pragati College and other colleges of Osmania University, Hyderabad, India.

RESEARCH

Editorially reviewed papers highlighted with ***. A, B or C indicate the journal rating under the COB list as of April 2018 or in the earlier COB list. Ratings are only used for the last five years.

62. Srinivas Nippani, Augustine C. Arize and D. K. Malhotra "Does TED spread Impact Bank Stock Returns?" accepted for publication in **Journal of Wealth Management**, August 2021. ^B

61. Nizan G. Packin and Srinivas Nippani ""Piggybacking on The CAMELS Rating System: An Agency Theory Approach to Combating Systemic Discrimination in Commercial Banking" forthcoming in **American Business Law Journal**, Vol. 59(2), Spring 2022 ^{A*}.

- Cited in *Forbes*, 2021.

60. Srinivas Nippani and Ran Ling "Bank Size and Performance: An Analysis of the Industry in the United States in the Post-Financial Crisis Era" accepted for publication in **Journal of Financial Research**, April 2021 ^A. DOI: 10.1111/jfir.12255

Srinivas Nippani and Shekar S. Shetty "Santa Claus Rally and the Indian Stock Market: A Comprehensive Analysis" accepted for publication in **IIMB Management Review**, March 2021. ^A
<https://doi.org/10.1016/j.iimb.2021.03.002>

58. Srinivas Nippani and Paul Newsom "Teaching Entrepreneurial Finance: Reconsidering Morris and Liguori in light of the COVID-19 Pandemic" **The Journal of International Council for Small Business**, Vol. 0(0), 1-9.

<https://www.tandfonline.com/doi/full/10.1080/26437015.2020.1866959>

57. Srinivas Nippani "The Importance of Keeping Your Entrepreneurial Finance Students Up To Date During the COVID-19 Times". **Journal of Entrepreneurship Education**. Vol. 23(2). Special Issue. ^B

56. Júlio Lobão, Patrícia Piedade and Srinivas Nippani "Does stock trading volume signal future dividends? Evidence from Iberian Firms" published online in **Portuguese Economic Journal**, November 2020. ^C

55. Srinivas Nippani and D.K. Malhotra “The Impact of Demonetization on the Short-Term and Long-Term Returns of India’s Leading Real Estate Index”. **The Journal of Wealth Management**, Summer 2020, Vol. 23(1), pages 88-97.^B

54. D.K. Malhotra and Srinivas Nippani “A Re-Examination of the Relationship between Dividend Yields and Stock Prices in the Indian Stock Market” **Indian Journal of Accounting**, Vol. 51(2), Pages 13-18, December 2019. (Not listed on COB list. General Impact Factor = 2.4602)

53. Gregory G. Lubiani and Srinivas Nippani “Competitive Differences in Input-Factor Utilization among Brazilian Financial Institutions” **Journal of Accounting and Finance**, Vol. 19(6), Pages 128-134, 2019.^B

52. Dror Parnes and Srinivas Nippani “The Integration of Mortgage and Capital Markets: A Tale of Two Administrations” **Journal of Financial Economic Policy**, Vol. 11(3), 2019, pp 405-431.^B

51. Srinivas Nippani and Lirong Liu “How to use The EPA Website to advice on ESG Investing” practice management article (Invited and Editorially Reviewed) in **Journal of Financial Planning**, Special Report on “Trends in Investing”, Page 17, June 2018. (Invited Paper, editorially reviewed)

50. Lirong Liu, Srinivas Nippani, and Zhou Yang “Does Being Placed on EPA Watch List Impact a Firm’s Stock Market Returns?” **Southwestern Economic Review**, Vol. 45(1), 105-124. Spring 2018.^B

49. SingRu Hoe, Srinivas Nippani and John David Diltz, "Should CAMELS ratings be publicly disclosed?", **Economics Bulletin**, Vol. 37 (3), 1567-1572.^B

48. SingRu Hoe and Srinivas Nippani “2016 U.S. Presidential Election and Stock Markets in China” **International Journal of Economics and Finance**, Vol. 9 (7). 32-38.^C

47. Srinivas Nippani and Dror Parnes "Recent Evidence on Political Brinkmanship and Treasury Yields" **Journal of Financial Economic Policy**, Vol. 9(3), 2017. pp. 324-337.^B

46. Srinivas Nippani “Why the Risk-Adjusted Discount Rate Method is A Better Method than the Certainty Equivalent Method: A Teaching Perspective” **Afro-Asian Journal of Finance and Accounting**, Vol. 7(2), pp. 147-163, 2017.^B

45. Srinivas Nippani “Arbitrage Opportunities in Dollar Trading Due To Unexpected Political Events: An Empirical Note” **Journal of Accounting and Finance** 16(5), 11-19, 2016. Lead paper in Issue. ^B

44. Kenneth M. Washer, Srinivas Nippani and Robert R. Johnson “Santa Claus Rally and Firm Size” **Managerial Finance**, Vol. 42(8), pp. 817 – 829, 2016.^B

- Cited in Investment Newsletters of BoomBustProfits.com and UBS.com

43. Srinivas Nippani "An Analysis of the Shutdown of the United States Government and Its Impact on the US/Taiwan Exchange Rate" **Academy of Taiwan Business Management Review**, Vol. 12, No. 1, April 2016, pp. 115-118.^B

Older than 2016

42. Srinivas Nippani, Kenneth M. Washer, and Robert R. Johnson, "Yes, Virginia, There Is a Santa Claus Rally: Statistical Evidence Supports Higher Returns Globally" **Journal of Financial Planning**, Vol. 25, No. 3, March 2015, pp. 55-60.^B

- Cited in News Outlets: USA Today, 24*7news.com (Bahrain), CNBC.com, elmercurio.com (Chile) thestreet.com, huffingtonpost.com, irishtimes.com (twice).

- Websites and Financial Advisors: Tradeking.com (brokerage firm newsletter), Advisorinsightblog.com, Werbarubin.com, thealertinvestor.com, finra.org, fin-matters.com, Facebook page of Varchev Financial Services.

- Cited in Investment Newsletters of BoomBustProfits.com and UBS.com

41. Srinivas Nippani and Stanley D. Smith "The Impact of the October 2013 Government Shutdown and Debt Ceiling on US Treasury Default Risk." **The Journal of Fixed Income**, Fall 2014 Vol. 24, No. 2: pp. 79–91^A.

- Summarized in *CFA Digest* (CFA Institute), by Stuart Fujiyama, CFA, January 2015, Vol. 45 (1).

40. Asli K. Ogunc and Srinivas Nippani "A Note on the Impact of the Financial Crisis on Stock Market Integration among the Countries of the Americas" **International Review of Applied Financial Issues and Economics**, accepted for publication, January 2014.^B (I do not know if this paper was ever published. We signed the copyright but did not receive any information after that).

39. Srinivas Nippani "Diversified Real Estate Indices and the Weekend Effect: An Analysis of Daily Stock Returns over the Period 1996-2012", **International Review of Applied Financial Issues and Economics**, Vol. 5(1), 2013.^B

38. Srinivas Nippani, Kenneth M. Washer and N.R. Vasudeva Murthy "Size and Day-of-the-Week Effect in the Banking Industry: A Comparative Analysis based on Economic Conditions" **International Journal of Financial Research**, Vol. 4(3), 1-9, Lead paper in Issue, 2013.^C

37. Srinivas Nippani and Kenneth M. Washer "Creditor Nation Equity Indexes and U.S. Debt Downgrade" **International Journal of Economics and Finance**, Vol. 4(6), pp. 3-10, 2012, Lead paper in issue.

- Cited in a paper published by IFAT, Ministry of Foreign Affairs and Trade, Hungary.

36. Srinivas Nippani and Stanley D. Smith "Analyzing the Changing Term Structure and Expectations of US Treasury Default Risk" **The Journal of Fixed Income**, Vol. 22 (1), pp. 52–60, Summer 2012.

- Summarized by Spencer L. Klein, CFA in the CFA Digest published by the CFA Institute, November 2012, Vol. 42(4), 104-106.

35. Srinivas Nippani and Shekar Shetty "Community Banks and the Dodd-Frank Bill: Did it create or Erode Value?" **Journal of Business and Economic Perspectives**, Volume XXXVIII, Number 1, Spring/Summer, pp. 81-86, 2011.

34. Srinivas Nippani and John G. Greenhut, "Reversal of the weekend effect in Canada: an empirical analysis", **Managerial Finance**, Vol. 37(9), pp. 840-854, 2011.

33. Kenneth M. Washer, Srinivas Nippani and John Wingender "Day-of-the-Week Effect in the Canadian Money Market" **Managerial Finance**, Vol. 37(9), 855-866, 2011.

32. Srinivas Nippani and Kenneth M. Washer "A Note on the Immediate Impact of the Eyjafjallajökull Volcanic Eruption on Airline Stocks" **International Review of Applied Financial Issues and Economics**, Vol. 3(1), 282-295, 2011.

31. Augustine C. Arize, Srinivas Nippani and Steven S. Shwiff "The Role of Volatility of Inventories in Explaining the Volatility of the Commercial Paper-T-bill Spread: An Empirical Study" **International Review of Applied Financial Issues and Economics**, Vol. 2(3), 2010, 428-446.

30. Srinivas Nippani and Stanley D. Smith "The Increasing Default Risk of U.S. Treasury Securities Due to the Financial Crisis" **Journal of Banking and Finance**, 34(2010), 2472-2480. Reprinted in "Virtual Special Issue on Global Financial Stability: Resilience of markets and institutions," *Journal of Banking & Finance*, 2011, compiled by Fariborz Moshirian, Editor. One of 33 papers selected from 1997 to 2010.

- Cited in three textbooks of Eugene F. Brigham, Michael C. Ehrhardt and Philip R. Daves.

- Cited in huffingtonpost.com (repeated in IreneAlderidge.com and hamptons.com).

- Recommended reading for "Bibliography on the Financial Crisis" published by Bank of Greece, 2011.

- Cited in works from Judge Business School, Cambridge and St. Louis Fed Research Division.

29. Augustine C. Arize and Srinivas Nippani "Import Demand Behavior in Africa: Some New Evidence" **Quarterly Review of Economics and Finance**, Vol. 50(3), August 2010, pp. 254-263.

- Cited and used to support conclusions of a report presented by United Nations Economic and Social Council, Eighth session of Committee on Trade, Regional Cooperation and Integration, Addis Ababa, Ethiopia, 2013.

28. Srinivas Nippani and Shekar Shetty "The Impact of Katrina on the Small and Large Bank Performance in Louisiana and Mississippi: An Early Look" **Journal of Business and Economic Perspectives**, Vol. XXXV(1), Spring/Summer 2009, 165-178.

27. Srinivas Nippani, Steven S. Shwiff and Augustine C. Arize "A Note on Inventories and Commercial Paper Yields" **Quarterly Review of Economics and Finance**, Vol. 49 (2009) pp. 711-719.

26. Asli K. Ogunc, Srinivas Nippani, and Kenneth M. Washer, "Seasonality tests on the Shanghai and Shenzhen Stock Exchanges: An Empirical Analysis" **Applied Financial Economics**, Vol. 19, pp. 681-692, 2009.

25. Srinivas Nippani, Ram S. Vinjamury and Chenchuramaiah T. Bathala, "Bank Size and Corporate Governance Structure" **ICFAI Journal of Bank Management**, Vol. VII (4), November 2008, 7-17. Lead paper in Issue.

24. Kenneth M. Washer, Srinivas Nippani and Asli K. Ogunc "An analysis of Regional Banks' Share Repurchasing Behavior" **Academy of Banking Studies Journal**, Vol. 7 (1 & 2) 2008, 1-13. Lead paper in issue.

23. Srinivas Nippani and Chenchuramaiah T. Bathala "The Impact of the Asian Tsunami on the Affected Countries' Stock Markets" 14(5), 44-56, **ICFAI Journal of Applied Finance**, May 2008.

22. Srinivas Nippani and Augustine C. Arize "U.S. Corporate Bond Returns: A Study of Market Anomalies Based on Broad Industry Groups" **Review of Financial Economics** 17 (3), 157-171, 2008. Lead paper in issue.

21. Augustine C. Arize, John Malindretos, and Srinivas Nippani "Exchange Rates, Domestic and Foreign Prices in LDCs: An Empirical Investigation" **The International Journal of Finance**, Vol. 19(2), 4399-4418, 2007.

20. Chenchuramaiah T. Bathala, Srinivas Nippani, and Ram S. Vinjamury "Industry Differences in Corporate Governance: The Case of Banking and Non-Banking Firms" **ICFAI Journal of Applied Finance**, Vol. 13 (5), 17-25, May 2007.

19. Srinivas Nippani and Chris A. Myers "Price Wars and Stock Market Reaction: An Empirical Analysis" the **International Journal of Business Research**, Vol. V (2), 118-12, Fall 2006.

18. Srinivas Nippani, Kenneth M. Washer and Asli K. Ogunc "NAFTA's Impact on Industry Return Relationships between North American Countries" **International Journal of Business Research**, Fall 2005, Vol. IV (1), 68-78.

17. Srinivas Nippani "An Examination of the Weekend Effect in the Mexican Stock Market" **Journal of International Finance and Economics**, fall 2005, Vol. I (1), 94-98.
16. Srinivas Nippani and Kenneth M. Washer "IBBEA Implementation and the Relative Performance of Small Banks" **Mid-American Journal of Business**, fall 2005, Vol. 20 (2), 19-24.
 - Cited in a review published by National Bank of Belgium (2010).
15. Srinivas Nippani and Augustine C. Arize "U.S. Presidential Election Impact on Canadian and Mexican Stock Markets" **Journal of Economics and Finance**, Vol. 29 (2), summer 2005, 188-196.
 - Cited in TheSundayIndian.com
 - Cited in an NBER paper and International Encyclopedia of Social Sciences
14. Srinivas Nippani "Canadian Stock Market, U.S. Stock Market, and the Reverse Weekend Effect: Latest Evidence" **Central Business Review**, Winter-Summer 2005, Vol. XXIV (1-2), 4-7. Lead Paper in Issue.
13. Srinivas Nippani and Kenneth M. Washer "SARS: A Non-Event for Affected Countries' Stock Markets?" **Applied Financial Economics**, Vol. 14 (2), 2004, 1105-1110.
 - Summarized in the text: *Statistics for Business and Economics* by David R. Anderson, Dennis J. Sweeney, Thomas A. Williams, Jim Freeman and Eddie Shoesmith, 2007.
 - Cited in a policy paper of Lowry Institute for International Policy (Australian National University; paper available at brookings.edu).
 - Cited in *Innovationskooperationen entlang der Supply Chain der europäischen Aviation-Industrie* by Andreas Potzner (supply chain textbook).
 - Cited in a Springer Briefs on Economics book series about COVID-19 epidemic.
12. Kenneth M. Washer and Srinivas Nippani "Human Capital and the Balance Sheet" **Financial Counseling and Planning**, Vol. 15 (1), 2004, 13-20.
 - Cited extensively including the tables and text in a work published by the Australian Center for Financial studies paper (2014).
 - Cited in an article on LinkedIn 2018.
11. Srinivas Nippani and Anita K. Pennathur "Day-of-the-Week Effects in the Commercial Paper Yield Rates" **Quarterly Review of Economics and Finance**, Vol. 44 (4), September 2004, 508-520.
10. Augustine C. Arize, John Malindretos and Srinivas Nippani "Variations in exchange rates and inflation in 82 countries: An empirical investigation" **The North American Journal of Economics and Finance**, Vol. 15 (2), (2004) 227-247.
9. Srinivas Nippani, Pu Liu and Craig T. Schulman "Credit Ratings and Borrower Abnormal Returns at Bank Loan Announcements: An Extended Analysis" **Journal of Business Administration Online**, Vol. 2 (2), Fall 2003.

8. Srinivas Nippani, Pu Liu and Craig T. Schulman "The Clientele Effect of Spinoffs – Further Evidence from the Effective Date of Corporate Divestitures" **Journal of Business Administration Online**, Vol. 2 (1), Spring 2003.

- Cited in New York Times.

7. J. Dennis Patterson, Ted M. Hammett and Srinivas Nippani "A Study of Bank Asset Allocation in Southeast Arkansas" **Arkansas Business & Economic Review**, Vol. 35 (1), Spring/Summer 2002, pp. 15-17.

6. Srinivas Nippani and Kenneth W. Green, Jr. "The Banking Industry After the Riegle-Neal Act: Re-structure and Overall Performance" **Quarterly Review of Economics and Finance**, 42 (2002), pp. 901-909.

- Cited in textbook *Modern Banking* by Shelagh Heffernan, 2005.

- Cited in a review published by National Bank of Belgium (2010).

- Cited in a paper of RIETI (Japanese Government think tank).

- Cited in Wisdomjobs.com a top job portal in India.

5. Srinivas Nippani and W. Bobby Medlin "The 2000 Presidential Election and the Stock Market" **Journal of Economics and Finance**, Summer 2002, Vol. 26 (2), pp. 162-169.

- Cited in eleconomista.es (twice) and economiahoy.mx (Spanish language News in Mexico)

- Cited in an NBER paper and International Encyclopedia of Social Sciences.

- Cited in an investment letter from the bank UBS, 2020.

4. Srinivas Nippani "IBBEA: An Arkansas Perspective" **Arkansas Business & Economic Review**, Fall/Winter 2001, Vol. 34 (2), pp. 15-16.

3. Kenneth W. Green, Jr., and Srinivas Nippani "The Macroeconomic Results of Applied Keynesian and Supply-Side Policies in the United States (1960-1982 and 1983-1998)" **Academic Forum**, 2001-02, Vol. 19, pp. 115-124.

- Cited in cleaninggreen.net

2. Srinivas Nippani, Pu Liu and Craig T. Schulman "Are Treasury Securities Free of Default?" **Journal of Financial and Quantitative Analysis**, June 2001, Vol. 36 (2), pp. 251-266. Special Issue on International Corporate Governance.

- Cited in two Government Accountability Office reports, United States Congress Joint Economic Committee report, an FRB research paper, an NBER paper, the Australian Parliament Blog (aph.gov.au), Boston Law Review and Duke Law Review.

- Cited in over 15 textbooks authored by Eugene F. Brigham, Michael C. Ehrhardt, Philip R. Daves and Geoffrey Poitras.

- Cited in news outlets: nytimes.com, bendbulletin.com, the-dispatch.com, Northwest Arkansas Times, University of Arkansas (Newswire), Washington Post (twice), National Review Online, thecitywire.com.

1. Srinivas Nippani and Kenneth W. Green, Jr. "An Analysis of the Supply-side Economics on Southwestern Region of the United States" **Southwestern Business and Economic Journal**, Vol. 8, (1) 1999, pp. 49-55.

Invited Presentations

Srinivas Nippani and Stanley D. Smith "The Increasing Default Risk of U.S. Treasury Securities Due to the Financial Crisis" presented at the Indiana State University on April 8, 2010.

Conference Proceedings and Presentations at Meetings

Financial Education Association Meetings 2017 (Meeting Cancelled)
SFA 2014,
SWFA 2013 (2 papers), 2012, 2011, 2010, 2003, 2002, 1999 (2 papers)
Academy of Entrepreneurial Finance 2007
International Conference on Business and Finance –2006, Hyderabad, India
International Business and Economy Conference, 2007
AIBE 2005
IABE 2005 (2 papers)
ASBBS Meeting (2 papers, best paper) 2004
EFA 2002
SOBIE 2000 (3 papers)
FMA 1997,
Southwestern Finance Symposium 1998
PACAP Conference 1997

Other Scholarly Activity

Member, Board of Editors: Finance, of the Journal of Economics and Financial Education published by the Academy of Economics and Finance, 2002-Present.

Member, Editorial Review Board, Journal of Business Administration Online, published by Arkansas Technological University, Russellville, AR. 2003-Present.

Served/serving as ad-hoc reviewer for

- (1) The Southwest Business and Economics Journal
- (2) The Journal of Economics and Financial Education
- (3) The Journal of Business Administration Online
- (4) The Mid-American Journal of Business
- (5) The Journal of International Business and Entrepreneurship Development

- (6) Emerging Markets Finance and Trade
- (7) Applied Economics
- (8) Applied Financial Economics
- (9) Journal of Banking and Finance
- (10) Asia Pacific Management Review
- (11) Afro-Asian Journal of Finance and Accounting
- (12) The Quarterly Journal of Finance and Accounting
- (13) International Review of Economics and Finance
- (14) Managerial Finance (2018)
- (15) Issues and Studies (published in Taiwan) (2018)
- (16) Journal of Business Ethics (Fall 2019)
- (17) Journal of Financial Economic Policy (Spring 2020)
- (18) Review of Financial Economics (Fall 2019)
- (19) Scottish Journal of Political Economy (Spring 2020)
- (20) Journal of Risk and Financial Management (fall 20)
- (21) Economics Bulletin (Fall 20)
- (22) Journal of Governance and Regulation (Fall 20)

Served as discussant at the *Southwestern Finance Symposium*, Tulsa, OK, April 1997, The SOBIE meeting 2001, and the *Southwestern Finance Association Meeting* in Houston, TX, March 10-12, 1999; Houston, TX, 2003; and Dallas, TX 2004.

“Capital Market Efficiency: A Study of Market for Industrial Securities in India”

Masters’ thesis submitted to Indian Institute of Technology, Madras, India, October 1991.

Non-Academic Publications

A joke based on an actual happening in my academic experience in the section of “All in a day’s work” in *Reader’s Digest*, May 2004, page 52. You can also find this joke at the website of Reader’s Digest: <http://www.rd.com/content/openJoke.do?contentId=13499>. The Joke was republished in the book “LAUGHTER THE BEST MEDICINE@WORK (America’s funniest Jokes, Quotes, and Cartoons) by the Magazine. The ISBN is 978-1-60652-479-4. The Joke is given in page 155 as part of the collection “It’s Academic”.

An article on the game of cricket “The case for VVS Laxman” published by rediff.com, the leading cricket Internet site at: <http://us.rediff.com/cricket/2005/jun/28guest.htm>

COURSES TAUGHT

Texas A&M-Commerce (Fall 2002 to date):

For MBA/ MS level:

Financial Management (FIN 504)

Investment Management (FIN 510)

International Business Finance (FIN 571)

Financial Markets, Institutions and Instruments (FIN 570)
Independent Study in Financial Markets, Institutions and Instruments (FIN 589)
Finance for Decision Makers (FIN 501)
Fixed Income Analysis (FIN 515) (was scheduled for fall 2015, cancelled).
Advanced Entrepreneurial Finance and Venture Capital (FIN 520) (Fall 2017)

For BBA level:

Management of Financial Institutions (FIN 429)
Managerial Finance (FIN 404)
Introduction to Business Finance (FIN 304)
Advanced Financial Management (FIN 404) (same as Managerial Finance above)
Financial Markets and Institutions (FIN 429)
Entrepreneurial Finance and Venture Capital (FIN 420) (Fall 2017)

Signature Class

Blood and Money: War and Finance (UNCO 1301)

University of Arkansas-Monticello (01/99 to 07/02):

Principles of Macroeconomics (ECON 2203)
Investments (FIN 4613)
Principles of Finance (FIN 3473)
Principles of Microeconomics (ECON 2213)
Financial Policy and Planning (FIN 4603)

University of Arkansas, Fayetteville, Arkansas (08/94 to 12/98):

Financial Management: Theory and Practice (FINN 3043)
Macroeconomics (ECON 2013)
Principles of Investments (FINN 3063)
Financial Markets and Institutions (FINN 3053)

SERVICE

Texas A&M University – Commerce:

Department of Economics and Finance Committees (Accounting and Finance since Fall 2018 onwards).

- Attended a training session for Student Advisory Council, summer 2021.
- Made a video for advertising MSF at TAMUC during the height of COVID-19 crisis.
<https://www.youtube.com/watch?v=dBiTO-bmuWQ&t=5s>
- Made a Welcome Video for Accepted MSF students.
- Member, Finance Search Committee Fall 2020.
- Honors thesis chair: Kayleigh Lester (defended successfully on 11/17/20)

- Member, Peer Review team for teaching for Drs. Ramya Aroul, Dror Parnes and Ms. Terry Brawand.
- Department Representative for Curriculum Committee Fall 2020.
- Member, Dean Search Committee, Fall 2020.
- Chair, Financial Analytics Lab Committee Fall 2020-date.
- Department and College Scholarship committee, Fall 2019-Date.
- Course Embedded Assessment Coordinator Spring 2019
- Attended an academic research funding Strategies, LLC's "Funding Your Research: How to Develop and Write Successful Grant Proposals" on how to write grant proposals. Thursday, October 25, 2018.
- Attended Sedona Web Training in TAMUC, Fall 2018.
- Peer Reviewer for Teaching: Dr. Steve Shwiff on 10/30/2018
- Represented the Department at Eastfield College on October 23, 2018, in Eastfield College, Mesquite, TX.
- Represented the Department in undergraduate on-campus TACRAO event called NETCAT scheduled for September 21, 2018, for recruitment of students
- Represented the department and College of Business Friday, August 3, as we welcome new transfer students, BA 343, August 3rd, 2018.
- Faculty Advisor, for master's level Case Competition for an actual listed company. The group of students won an honorable mention. The presentation team I advised was Sapan Conjivaram, Vani Saibaba, Somtochukwu D. Obuzor and Doruk Bozkurt. The competition was held in University of West Florida, Pensacola between 13-14 April 2018. I accompanied the team to the competition.
- Guest Speaker at Honors College Presentation "The Importance and Implications of Socially Responsible Investing (SRI)", 10/16/2017
- Representative for the Department at the Undergraduate admissions and NETCAT (Northeast Texas Career and Technical Consortium) College Fair, NETCAT college day, 09-22-2017.
- Presenter as a Superhero at the "Discover Your Superpower Career Fair", Campbell Independent School District, April 21. 2017.
- Chair, Finance Search Committee, Fall 2016.
- Guest Speaker at Honors College Presentation "Financial Legislation: Why is it important?" 09/26/2016.
- Departmental Representative at two transfer student orientations (June 18th and June 24, 2016) at TAMUC.
- Guest Speaker Honors College Presentation "The Coming Debt Crisis and what you can do about it" 9/21/15

- Chair, Finance Search Committee, Fall 2015
- Departmental Representative, Two Orientations for new students, Summer 2015
- Chair, Finance Committee on Curriculum, spring 2015-date
- Advisor of Undergraduate BBA program in finance, spring 2015-date
- Chair, Finance Search Committee, spring 2015.
- Departmental Representative at two freshmen and transfer student orientations (August 7 and August 14, 2014) at TAMUC.
- Member of Department Tenure Committee for: Dr. Adolpho Benavides (2013), Dr. Nathan Harness (2013, chair), Dr. Tim Wilson, Dr. Daniel Edelman and Dr. Gordon Heslop (all in 2012) and Dr. Asli K. Ogunc.
- Chair of Academic Affairs Tenure and Promotion for College of Business for the following professors: Dr. Kishor Kumar Guru-Gharana (2014) and Dr. Sandra Gates (2014), Dr. Chris A. Myers (promotion to full professor rank only), Drs. M. Guclu Atinc, Brandon Randolph-Seng and Ruiliang Yan (2016).
- Faculty Senator representing the Department in Faculty Senate (Summer 2013-Date)
- Served on Student Complaint Committee (2008) in Accounting, and Grade Appeal Committees in Fall 2012 and Summer 2013.
- Served on Economics and Finance Faculty Search Committees:
 - Fall 2009 (Chair)
 - Fall 2012
 - Spring 2013 (two Committees member)
- Chair, Department Committee for Tenure and Promotion, Fall 2009.
- Faculty representative to R.I.S.E. conference, March 27-29, 2008.
- Major Advisor/Director for M.S. (Finance) program fall 2006-December 31, 2010.
- Committee Chair for Finance Faculty position search 2005-2006.
- Faculty Advisor to FMA chapter of TAMU-Commerce for year 2002-2005.
- Parents Day representative for Economics and Finance Department, Spring 2002.
- Represented the department in Junior Level Exam grading, Spring 2002.
- Received training and certificate of completion of Educator training, Summer 2004.
- Senator for Economics and Finance Department, on Faculty Senate, Texas A&M-Commerce 2004-2007.
- Member, Senate Curriculum Committee for 2004-2006.

College of Business Committees

- Member, COB Curriculum Committee, Fall 2020-date
- Member, COB Research and Creative Activities Committee Fall 2019-Spring 2020

- Member, COB Scholarship Committee, Fall 2019-Date.
- Member, COB Assurance of Learning Committee, Fall 2018.
- Departmental fourth year tenure review committee for Drs. Shiyou Li and Caroline Hayek of Accounting, Spring 2018.
- Chair, Post-Tenure Review Committee for Dr. Dale R. Funderburk, Fall 2017.
- Departmental Tenure and Promotion Committee member for Drs. Al Gormus, Celine (Singru) Hoe and Bo Han (external member since department has few tenured faculty).
- External Member, Accounting Search Committee for three faculty positions, Fall 2017.
- Co-Chair, Research and Creative Activities Committee, Fall 2017.
- Member, Faculty Committee, Fall 2017.
- Reviewed the fourth year (Dr. Caroline Hayek) and (Dr. Shiyou Li) files for the accounting department, January 2017. Also reviewed Dr. Bo Han (Marketing and Business Analytics department) file for fourth year Review, January 2017.
- Judge Lion's Showcase Business Ventures, COB, TAMUC, 11/18/2016
- Chair Academic Affairs Tenure and Promotion Committee for College of Business.
- Member Advisory Committee for COB Member 2016-17
- Member, First year Review Committee for Dr. Caroline Hayek, Department of Accounting, Spring 2016.
- Member, Third year Review Committee member for Dr. Bo Han, Department of Marketing and Business Analytics, Spring 2016.
- Post-Tenure Review Committee for Dr. Asli K. Ogunc, Member, Fall 2015
- Annual Review Committee for Drs. Ruiliang Yan and Jeremy St. John, Department of Marketing and Business Analytics, Spring 2016 and Dr. Daniel Haskin of Department of Accounting.
- Stood in for Dean, COB: Dean Humphreys at Orientation dinner on August 5, 2015.
- Member, AACSB accreditation seminar team, Spring 2015, Tampa, FL.
- Member, College Strategy Committee 2014-Date.
- Chair, Faculty Committee, College of Business, September 2014-August 2017.
- Co-Chair, Faculty Committee, College of Business, TAMU-Commerce Summer 2014-September 2014.
- Chair, Promotion Committee for Dr. Chris A. Myers – Fall 2014
- Member of the Review Committee for assistant professors in Accounting for the Accounting department for years 2012-13 and 2013-14.
- Member: Management Search Committee (Spring 2014)
- Member on the post-tenure review Committee for Drs. Steven S. Shwiff (chair), Mildred G. Pryor and Donald English.

- Committee Member on the Guidelines for Promotions and Tenure Committee, School of Business and Technology, Texas A&M-Commerce, 2002-2003.
- Committee Member for College of Business and Technology's AACSB New Strategic Plan Consultant Committee, 2004.

University Committees

- University Advisory Committee Member to advise President about Academic Freedom and Responsibility. Summer 1, 2017 (Met twice for nearly two hours each).
- Marshall, Undergraduate Graduation, December 17, 2015.
- Member, President's Advisory Committee on Grievance and Appeal Process for Faculty Members, 2014-15-date.
- Member, Senate Executive Committee (August 2013-October 2014)
- Chair, Academic Life Committee of Faculty Senate (August 2013-October 2014)
- Judge, Annual Research Symposium, Texas A&M University – Commerce, April 4, 2013.
- Member, University Committee to choose the Mayo Student Award – Spring 2007-Fall 2014.
- Member, College of Business and Technology AACSB Accreditation Maintenance Oversight Committee, Fall 2006-2011
- Committee member of Senate Curriculum Committee 2005-06
- Focus Group Member for Summer budget allocation strategies for faculty for Summer 2004 and beyond, by the Office of Institutional Research, Texas A&M-Commerce, Spring 2003 (April 3)
- Committee Member for Institutional Review Board, Texas A&M University-Commerce, 2004-2008.
- Graduate Council Representative for the dissertation defense of Ms. Liliana Valadez (Dissertation chair, Dr. James Vornberg) on July 5, 2005, and proposal defense of Ms. Julie McElhany (Chair: Dr. Leah Wickersham) in September 2006, Ms. Michelle Perepiczka (final defense, Chair: Dr. Richard Balkin), September 4, 2008, and Ms. Shannon T. Carmody (Chair: Dr. Sheri Colby), April 1, 2014.

Texas A&M University System Service:

System Reviewer for Regents Professor Nominees (11 professors' portfolios) were assessed for the Regents Professor Award for 2016-17.

Finance Publishers-Professional service:

- Participated in an online Survey on Educational Course Materials conducted by Hanover Research for Cengage Unlimited, fall 2019.
- Participated in an online survey on Student Employability Preparedness with Pearson Publishing, August 1, 2016.
- Participated in an online survey for Pearson Business Publishing on “Real Employers. Real Projects. Real Students”, May 2016.
- Participated in an online Survey for Hanover Research for McGraw Hill Connect, April 2016.
- Participated in an online survey from the Market Research Department of Elsevier for authors in their journals (February 2016).
- Participated in a survey on “Teaching Trends in Introduction to Corporate Finance” conducted by Pearson Finance Team (Online on January 4, 2016).
- Acknowledged in the following books (last five years only) for helpful reviews and comments:
 - Financial Markets and Institutions by Jeff Madura 12th edition (2014)
 - International Financial Management – Abridged Edition by Jeff Madura (2015)
 - International Financial Management by Jeff Madura (2014)
 - Foundations of Financial Management by Block, Hirt and Danielsen (2011) 14th Edition, McGraw Hill
 - Financial Markets and Institutions by F. Mishkin and S.D. Eakins 7th Edition (2011-12) Pearson
- Reviewed several chapters from the textbook “Corporate Finance: Core Principles and Applications” by Stephen A. Ross, Randolph W. Westerfield, Jeffrey J. Jaffe and Bradford D. Jordan for McGraw Hill-Irwin, 2004-2005.
- Reviewed several chapters for the textbook “Fundamentals of Corporate Finance” by Richard A. Brealey, Stewart C. Myers and Alan J. Marcus, for the sixth edition by McGraw Hill-Irwin 2007.
- Reviewed chapters of a yet unnamed book on financial management for Pearson Addison-Wesley, 2005-06.
- Participated in Survey for Corporate finance textbooks for the McGraw-Hill Companies, 2006.
- Participated in a Survey for Brandl’s *Money, Banking, Financial Markets and Institution*, June 2008 for Cengage Learning.
- Finance Expert in a Two day Focus Group with McGraw-Hill Irwin on “Graduate Corporate Finance” at Burr Ridge, IL September 24-25, 2009.

Other Universities

- **External Examiner** for Ph.D. thesis for Ms. Kok Lee Kuin, Multimedia University, Cyberjaya Campus, Malaysia, August 2008.
- **External Examiner** for Ph.D. thesis for Ms. Shaista Wasiuzzaman, Multimedia University, Cyberjaya Campus, Malaysia, May 2012.

External Reviewer for Tenure/Promotion at other Universities:

- Dr. Ravi Jain, University of Massachusetts-Lowell, Lowell, MA, July 2010 (Tenure and Promotion to Associate Professor rank).
- Dr. Dev Prasad University of Massachusetts-Lowell, Lowell, MA, July 2011 (Promotion to full professor rank).
- Dr. Kenneth M. Washer, Creighton University, Omaha, NE, September 2013 (Promotion to full professor rank).
- Dr. Oi Lin Cheung, Indiana University East, Richmond, IN, September 2014 (Tenure and Promotion to Associate professor Rank).
- Dr. Randy Jorgensen, Creighton University, Omaha, NE, September 2015 (Promotion to full professor rank).

Professional Organizations:

- Member, Program Committee for Southwest Finance Association for 2016-17.
- Member of Board of Directors for Southwestern Finance Association, March 2011-March 2013: Elected to the Board of Directors of SWFA.
- Served on program committee for the years 2010-2013 Southwestern Finance Association. In this capacity, I reviewed papers submitted to the meeting.

City of Commerce, TX:

- Worked as a Stairwell Monitor at NETEX 2017 for Commerce ISD Band Booster Fundraiser, September 30, 2017.
- Participated in two town hall meetings about improvements in the City of Commerce, Fall 2016.
- Selected to work with a group of prominent citizens for ASPIRE (A School Partnership for Inspiration, Recreation and Education). The group includes stakeholders of Commerce ISD, TAMUC and City of Commerce working together to make a difference in the lives of Children in City of Commerce, Fall 16- Spring 17.
- Graduate of Commerce Leadership Institute, spring 2005. participated in several local activities including cooking and selling sandwiches at the Bois D'Arc Bash.
- Participated in town hall meetings for important issues on several occasions

- Volunteered time to Commerce Elementary School, A.C. Williams Elementary School Commerce Middle School activities
- Helped the Commerce Middle School Team prepare for the Robotics Competition by teaching students of CMS. They achieved their best performance ever in 2013 when I was part of the helping team.

University of Arkansas-Monticello:

Committee Member on

- School of Business Intellectual Contributions Committee 1999-2001
- University Honors Council 1999-2001
- University's Faculty Research Committee 1999-2001
- University's Distance Education Committee 2000-2001
- University's Committee on Committees for the 2001-2002
- School of Business Marketing Search Committee Nov 1999-April 2000
- University Nominating Committee to secure Assembly officers for 2000-2001
- Search Committee for Dean, School of Business, April 2000
- Search Committees for two Accounting Faculty Positions, April 2000
- University Selection Committee Member, Faculty Excellence Awards, 2000 and 2001
- School of Business Brochure Committee, 2000
- School of Business Committee for report on Resources for Program Review, 2000
- School of Business Mission Committee 2001
- Assistant Sponsor of UAM's Alpha Chi Chapter for 2001-2002

AWARDS and HONORS

Biography accepted into Marquis Who's Who, comprised of the top 3% of the professionals in the world, November 2017.

Regents Professor Award from the Texas A&M System, November 2015: This is the highest award presented by the System to Faculty who have made exemplary contributions to their university or agency and the people of Texas.

<http://www.kbtx.com/news/headlines/Seven-Texas-AM-Faculty-Among-12-In-Texas-AM-System-Named-Regents-Professors-358964251.html>

The video of the award convocation at held at TAMUC on March 29, 2016 is available at:

<https://www.youtube.com/watch?v=2X5Z9teohek>

Nominated for The John Robert Gregg Award in Business Education for the year 2013. The award is given every year to a major contributor of business education. More details about this award are given on this website: <http://www.dpe.org/greggaward.htm>.

Service Award for Board of Directors, Southwest Finance Association 2012-13. Plaque awarded by SWFA for contribution and commitment during my tenure as elected director of SWFA 2013.

Winner of the H.M. Lafferty Distinguished Faculty Award for Scholarship and Creative Activity 2012. Awarded by the Texas A&M University – Commerce. This award recognizes individuals who have performed in an outstanding manner in the area of research, scholarship and creative activity.

Inaugural Winner, Texas A&M University-Commerce's 2010 Provost Award for Full Professor for Research and Creative Activity-For College of Business and Technology-Award Presented (\$1,000) on April 26, 2010.

Nominated for the H.M. Lafferty Distinguished Faculty Award for Scholarship and Creative Activity, Texas A&M University-Commerce, Spring 2006.

Early Tenure and Promotion to associate professor rank awarded in three years at Texas A&M University – Commerce, August 2005.

Best Paper by ASBBS 10th Annual Meeting, Finance VI Track "SARS: A Non-Event for Affected Countries' Stock Markets?" Co-authored with Kenneth M. Washer.

Listed on Who's Who in Academickeys.com-the premier resource for academic employment.

Chancellor's Faculty Excellence Award Nominee, nominated by the School of Business faculty at the University of Arkansas-Monticello, Monticello, AR for the year 2001-2002. Withdrawn because I accepted this position at TAMU-Commerce.

Alpha Chi Rookie Teacher of the Year Award, University of Arkansas at Monticello, February 2001.

Alpha Chi nomination for Rookie Teacher of the Year Award, University of Arkansas at Monticello, February 2000.

Certificate of Appreciation awarded by the Vice Chancellor for Academic Affairs in recognition of service to the Honors Council at UAM during 1999-2000.

Beta Gamma Sigma, University of Arkansas, March 2000.

National Merit Scholarship, 1989: awarded by the Osmania University under the grant of Ministry of Human Resources Development, Government of India.

University Grants Commission, Junior Research Fellowship Award, 1987: Awarded by the University Grants Commission of the Government of India.

Second Rank in the University in Master of Commerce (1987), First Class with distinction, Rank Certificate awarded by Osmania University.

First Rank in M. Phil (Business Administration) Entrance Exam in Osmania University, 1988.

Recipient of the Ravishankar Purushottam Lal Bhargava Gold Medal (Master of Commerce, final year 1986-87) and Silver Medal (Master of Commerce, first year 1985-86) awarded by Pragati College, Hyderabad, India for most outstanding graduate student 1986-87.

Fellowship awarded by the Institute of Chartered Financial Analysts of India, Hyderabad, India, to pursue the Chartered Financial Analysts Course (CFA) 1993, not used.

GRANTS

Awarded Support by Dean's Office, CBT to purchase data from Market Data Services, Toronto Stock Exchange, Spring 2008.

Awarded Mini-Grant by the Graduate School to conduct research on the impact of Tsunami on Asian Markets, March 2006.

Faculty Development Grant for research presentation awarded by Texas A&M University - Commerce, Fall 2005.

Competitive Travel Grant awarded by the Faculty Development Committee, Texas A&M - University-Commerce, February 2004.

Financial Support of Dept. of Finance, TAMU-C for data purchase from Market Data Services, Toronto Stock Exchange, Spring 2003.

Research Grant from Graduate School, Texas A&M University-Commerce for year 2003-2004.

MEMBERSHIPS

Beta Gamma Sigma (lifetime)

Financial Management Association (Intermittently)

Academy of Economics and Finance (Intermittently)

Southwestern Finance Association (Intermittently)

Eastern Finance Association (Intermittently)

International Academy of Business and Economics (Intermittently)

Academy of Commercial Banking and Finance

REFERENCES

Available on Request